



CHICAGO HOUSING
AUTHORITY

April 28, 2017

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Dr. Mildred Harris
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John G. Markowski
Cristina Matos
Francine Washington
Board of Commissioners

Eugene Jones, Jr.
Chief Executive Officer

David A. Haymes, AIA
Vice President
Pappageorge Haymes Partners
640 N. LaSalle, Suite 400
Chicago, IL 60654

Subject: NOTICE TO PROCEED
Contract No. 12004 between Pappageorge Haymes Partners and the Chicago Housing Authority for Professional Design Firms

Dear Mr. Haymes:

Enclosed is a fully executed copy of Contract No. 12004 between Pappageorge Haymes Partners and the Chicago Housing Authority ("CHA") to provide Architecture/Engineering Services. The amount of compensation will be \$750,000.00 for the full and complete performance of services during the base term of the Agreement.

Services are to be provided for a two (2) year base term through April 30, 2019, or until the Agreement is terminated in accordance with its terms, whichever occurs first.

Should you have any questions, please contact Pamela Seanior at 312-913-5854 or pseanior@thecha.org.

Sincerely,

Dionna Brookens
Chief Procurement Officer
Department of Procurement and Contracts

cc: L. Rajski, J. Butler, Contract File

Chicago Housing Authority
60 E. Van Buren
12th Floor
Chicago, IL 60605
o 312-742-8500
www.thecha.org

CONTRACT NO. 12004

**MASTER ARCHITECTURE/ENGINEERING SERVICES TASK ORDER, INDEFINITE
DELIVERY, INDEFINITE QUANTITY AGREEMENT**

BETWEEN

THE CHICAGO HOUSING AUTHORITY



AND

PAPPAGEORGE HAYMES PARTNERS

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Contract No. 12004

This PROFESSIONAL MASTER ARCHITECTURE/ENGINEERING SERVICES TASK ORDER, INDEFINITE DELIVERY/INDEFINITE QUANTITY AGREEMENT (The "Agreement") is made as of this 1st day of May, 2017 between the CHICAGO HOUSING AUTHORITY ("Owner" or "CHA"), an Illinois municipal corporation with offices located at 60 E. Van Buren St., Chicago, Illinois, 60605, and PAPPAGEORGE HAYMES PARTNERS (the "A/E"), an Illinois corporation with offices located at 640 N. LaSalle Street, Chicago, Illinois 60654, for professional architectural/engineering services for various CHA dwelling and non-dwelling facilities, including common and community areas, in the City of Chicago, Illinois.

RECITALS

1. Owner requires architectural/engineering services of qualified architectural/engineering firms on an indefinite quantity/indefinite delivery ("IDIQ") Task Order (as herein defined) basis for various dwelling and non-dwelling facilities which it owns, including common and community areas.
2. The Owner issued Request for Qualifications ("RFQ") Event No. 1647 on or about September 16, 2016 soliciting qualified architectural/engineering firms to submit proposals to meet the Owner's requirements for various architectural/engineering services including, but not limited to, assessments and evaluations, developing scopes of work, preparation of all drawings and specifications and related contract documents, assistance in obtaining and evaluating construction bids and permits, construction administration activities, and close out and warranty professional services as the Architect of Record ("AOR") / Engineer of Record ("EOR") for its construction activities at various Senior, Family, Scattered Sites and non-dwelling properties at various locations (the "Properties"), pursuant to which the Owner would award multiple IDIQ Task Order based Master Agreements for firms to perform A/E Services in one or more of four (4) Categories of Service (as herein defined).
3. Owner has identified the following four (4) Categories of Services for A/E Services ("Categories") and the A/E has been assigned to one or more Categories to which they applied and for which the CHA has determined they qualify:
 - A. Architectural Design: Licensed architect, serving as prime consultant, with sub-consultants as required.
 - B. Civil Engineering and Landscape Design: Licensed civil engineer/licensed landscape architect, serving as prime consultant.
 - C. Structural Engineering: Licensed structural engineer, serving as prime consultant.
 - D. Mechanical, Electrical, Plumbing, Fire Protection, Information Technology Engineering: Licensed engineering firm, serving as prime consultant.
4. The A/E submitted its proposal on or about October 14, 2016 and further represents it is qualified, ready, willing and able to provide the services required by the Owner on the terms and conditions as set forth herein.

5. The Owner and the A/E desire to enter into this Agreement to provide the services as set forth herein on an IDIQ Task Order basis, and on February 21, 2017, Owner's Board of Commissioners authorized the CHA to enter into this Agreement by Resolution No. 2017-CHA-20.
6. A/E has been assigned to perform the following Category or Categories of Service:

Architectural Design

NOW, THEREFORE, in consideration of the mutual agreements, promises and covenants contained herein, the parties hereto, intending to be legally bound, agree as follows:

DEFINITIONS OF CERTAIN TERMS AND PHRASES

Unless the context expressly otherwise requires, the terms and phrases defined in this Definitions Section will, for all purposes of this Agreement, have the respective meanings set forth below:

"Additional Reimbursable Expenses" - The expenses incurred by the A/E in accordance with, and pursuant to, Article C(7) hereof.

"Additional Services" - The services enumerated in Article C(7) hereof.

"Agreement" - This Agreement for Professional Architecture/Engineering Services, including all exhibits attached hereto; all amendments, Task Orders, modifications and revisions made in accordance with the terms hereof;

"Applicable Laws" - All applicable Federal, State and local laws, codes, ordinances and regulations related to the Scope of Work set forth in each Task Order and this Agreement, including, but not limited to, the Chicago Building Code, as amended, the Illinois Accessibility Code, as amended, the Uniform Federal Accessibility Standards ("UFAS"), as amended, the Americans with Disabilities Act of 1990 ("ADA"), as amended, Section 504 of the Rehabilitation Act of 1973, as amended and as implemented by 24 CFR Part 8 and the Fair Housing Act Design Manual ("504"), the design and construction requirements of HUD, the Environmental Protection Agency and the Occupational Safety and Health Administration.

"Application for Payment" - The A/E's application for Progress Payments, as defined in Article E hereof.

"Architect of Record" or "AOR" - The architect, as identified in the Task Orders issued hereunder, that is engaged to represent the Owner with respect to all aspects of the performance of design, engineering and administration of a Project, licensed to practice architecture in Illinois and engaged by the A/E as either a principal or full time employee to perform architectural, engineering, design, contract administration, or other services related to the Work required under the Construction Documents, Contract Documents, and this Agreement.

"Architect/Engineer or A/E" - The person, firm, or entity identified to perform the Services described in this Agreement and engaged to act on the CHA's behalf with respect to all aspects of the performance of the design, engineering and construction administration for a Project. Any reference to specific architectural, engineering, or related disciplines herein shall be construed as services directed and provided by the A/E whether they are self-performed or performed by the A/E's sub-consultants.

"Basic Fee" - The fee for Basic Services the CHA pays to the A/E pursuant to the Task Orders issued hereunder.

"Basic Services" - The services to be performed by the A/E pursuant to this Agreement and the Task Orders issued hereunder, other than Additional Services.

"Bid Package" - All the documents distributed to potential bidders, including, but not limited to, the Invitation for Bids, Form of Contract, General Conditions for Construction Contracts, Special Conditions, Technical Specifications, Plans and Specifications, and any other documents distributed to Bidders by the Owner with the foregoing documents. A Bid Package may also be used to refer to a Request for Proposals or Request for Services for construction.

"Bidding and Contract Documents" - The documents prepared by the A/E for purposes of bidding out and contracting for Work, as defined herein. Bidding and Contract documents may also refer to Requests for Proposals or Requests for Services for construction.

"Business Day" - Monday through Friday, excluding Federal or State holidays.

"Category of Service" - That category or categories of service identified in the RFQ that the A/E has been assigned to perform.

"Certificate of Acceptance" - The certificate issued by the Owner to the Contractor upon completion of final inspection of the Work and approval thereof at the conclusion of the Close-out Phase.

"Certificate of Substantial Completion" - A certificate issued by the A/E to the Owner establishing the Date of Substantial Completion of a Project.

"Construction Contract" - The agreement entered into between the Owner and a general contractor regarding a Project based on Construction Documents prepared by the A/E, including, but not limited to, a task order issued to a General Contractor under the Task Order Competitive Contracting Master Agreement (or any subsequent Master Agreement) and Invitation for Bid (IFB) BF Pages.

"Construction Contract Documents" - Those documents which constitute the Construction Contract as set forth therein. The Construction Contract Documents, which form the contract between the Owner and a general contractor for a construction project include the Construction Contract, the "Amendment(s) to Special Conditions", the "Special Conditions of the Contract for Construction" (the "Special Conditions"), "HUD General Conditions for Construction (HUD form 5370-A)" approved modifications, amendments and change orders to the Construction Contract, and the "Work Schedule" as defined in paragraph 6 of the HUD General Conditions for Construction, as amended from time to time pursuant to such paragraph 6, the "Instructions to Bidders (form HUD-5369)", applicable wage rate determinations from either the U.S. Department of Labor or HUD, the Performance and Payment Bond or Bonds or other assurances of completion, the "Technical Specifications" and "Plans and Specifications" for the Construction Contract, if any, the General Contractor's Compliance Affidavit, Contractor's Affidavit, or any other affidavits, certifications or representations the Contractor is required to execute under the Construction Contract, and the General Contractor's MBE/WBE/DBE and Section 3 Utilization Plans.

"Construction Cost Estimate" - The total estimated cost (to the Owner) of all elements of the completed Project designed or specified by the A/E, including, without limitation, all costs pursuant to the Construction Contract and all costs as described in Exhibit B. A Construction Cost Estimate may also be referred to as an Independent Cost Estimate ("ICE").

"Construction Cost Estimate Summary" - The final level of cost summation which shall be included with every detailed estimate of cost using an itemized Work Breakdown Structure

("WBS") prepared according to the Construction Specifications Institute ("CSI") format that is submitted by the A/E for given Project(s), as described in Exhibit B.

"Construction Documents" - All of the Plans and Specifications, addenda, change orders and modifications, and all other prints, models, drawings, designs, computations, sketches, test data, photographs, renderings, plans, shop drawings and other materials relating to, or contemplated by the Services performed by the A/E or by any engineer, professional or professional consultants to, or engaged by the A/E in connection with a Project.

"Contracting Officer" - The Owner's Chief Executive Officer or his designee, the Chief Procurement Officer.

"Contractor" or "General Contractor" - The person or entity designated as the general construction contractor in the Construction Contract.

"Date of Substantial Completion" - The date certified by the A/E when the Work or a designated portion thereof is sufficiently complete, in accordance with the Construction Contract Documents, so that the Owner may occupy the Improvements or designated portion thereof for the use for which it is or they are intended.

"Design Development Documents" - Documents prepared by the A/E during the Design Development Phase as set forth in Article C(3)(C)(v) hereof.

"Engineer" - The person or entity engaged by the Owner or by the A/E as either an employee or sub-consultant, and is a Registered Professional Civil, MEP or Structural Engineer licensed in Illinois.

"Engineer of Record" or "EOR" - The engineer, as identified in the Task Orders issued hereunder, that is engaged to represent the Owner with respect to all aspects of the performance of design, engineering and administration of a Project.

"Expedite" - The act of investigating, completing and processing project permits quickly and efficiently while representing the Owner's interest at all levels of the process, and while working with municipalities, neighborhood committees and other regulatory agencies in the interest of getting the Project under construction and on schedule. Expediting a Project includes the coordination of Construction Documents, applications and fees in preparation for submission to jurisdictional review agencies; following up with review agencies and the coordination with the Owner regarding the status of approvals or the need for revisions, responses and re-submittals; and representing the Owner at meetings relating to approvals, rezoning, variances, and neighborhood committees.

"Field Manager" - The person whose major responsibility is overseeing all field activity for the construction activities on behalf of the Owner. This person reports to the Project Manager and will oversee the construction activities related to a Construction Contract to ensure Contractor's compliance with the Construction Contract Documents.

"Firm Fixed Fee" - The firm fixed fee for Services required under a Task Order.

"General Conditions for the Contract for Construction" - The General Conditions of the Contract for Construction, HUD Form 5370-A, attached to each Construction Contract. A/E shall read and understand A/E's responsibilities as given in the General Conditions for the Contract for Construction.

"HUD" - The U.S. Department of Housing and Urban Development, including the Secretary, or any other person designated to act on its behalf in connection with the administration of this Agreement.

"Independent Cost Estimate" or "ICE" - The cost/price estimate of a general contractor's work for a Project. The ICE will be the basis for comparing costs or prices provided by the contractor (see Exhibit B for an example).

"Improvements" - The buildings and all other site improvements on a Site.

"Maximum Construction Contract Cost" - The cost referred to in Article C(2)(E) hereof.

"Not-to-Exceed Amount" - The not-to-exceed amount for Basic Services and any Additional Services as set forth in Article E(1) and E(2) hereof.

"Owner" - The Chicago Housing Authority, an Illinois municipal corporation, any of its affiliates or instrumentalities, or any other person designated, in writing, to act on its behalf but only to the extent so designated, in connection with the administration of this Agreement.

"Owner's Representative" - The person, or entity engaged by the CHA, to plan, coordinate, and oversee design and construction activities, including the activities of the A/E.

"Plans and Specifications" - All working drawings and specifications, including, but not limited to, elevations, sections and details, schedules, and notes, setting forth in detail and prescribing all work to be done for a Project including the materials, workmanship, finishes and equipment required for the architectural, structural, life safety, mechanical, electrical, and plumbing systems, parking, landscaping and on-site amenities and facilities, and all addenda thereto and modifications thereof, and as referenced in the General Conditions for Construction Contracts.

"Pre-Design Documents" - Documents prepared by the A/E during the Pre-Design Phase as set forth in Article C(3)(A)(v) hereof.

"Progress Payment" - A payment to the A/E, as defined in Article E(1)(B).

"Project" - All architectural and engineering services described in this Agreement and the Task Orders issued hereunder related to the Work the Contractor will perform at a Site pursuant to the terms of a Construction Contract. Also for purposes of this Agreement, a Task Order shall be considered a Project.

"Project Architect" - The person or entity licensed to practice architecture in Illinois and engaged by the A/E as either a principal or full time employee or sub-consultant to perform architectural, engineering, design, contract administration, or other services related to the Work required under the Construction Documents, Contract Documents, and this Agreement and the Task Orders issued hereunder.

"Project Manager" - The person or persons assigned to manage the specific Projects for the CHA. The A/E will report directly to the Project Manager for a Project.

"Record Drawings" - Reproducible prints of Plans and Specifications showing significant changes in the Work made during construction, including the locations of underground utilities and appurtenances referenced to permanent surface improvements, and wiring and piping and duct work located in walls, floors and ceilings, and actual field dimensions based on marked-up prints, drawings and other data furnished by the Contractor to the A/E.

"Reimbursable Expenses" - The expenses incurred by the A/E included in the amount of compensation set forth in Article E(1) hereof. Reimbursable Expenses are for certain actual expenses incurred by the A/E in connection with a Project. All Reimbursable Expenses must be separated by the Owner's individual building designations for each Project, and all costs must be identified by cost type (as set forth below), and building designation. Unless cost accounting is completed in this manner, the A/E will not be reimbursed for Reimbursable Expenses. Reimbursable Expenses include the following: (a) Travel Costs – The reasonable cost of travel incurred by the A/E when the Owner requests that the A/E travel to a location more than forty five (45) miles from the Project Site, the A/E's local Chicago office(s), or the Owner's office; (b)

Delivery Costs - courier services and overnight mail; (c) Reproduction Costs – The cost to reproduce and mail all drawings, Plans and Specifications, and Construction Documents required to be produced, provided or delivered pursuant to this Agreement, excluding the cost to reproduce such documents for the A/E's own use or the use of its sub-consultants; and (d) Specialty Consultants - The charges (hourly or otherwise) incurred for specialty consultants approved by the Owner in writing prior to the A/E's engagement thereof.

"Request for Services" or "RFS" - A solicitation for a specific scope of work for a Project to all A/Es in a designated Category of Service that have entered into a master agreement with the CHA pursuant to RFQ Event No. 1647 to submit proposals for evaluation and consideration for the award of a Task Order.

"Schematic Design Documents" - Documents prepared by the A/E during the Schematic Design Phase as set forth in Article C(3)(B)(iv) hereof.

"Scope of Work" - A description of the Services to be performed pursuant to this Agreement and the Task Orders issued hereunder (including, but not limited to, the A/E's architect/engineer design data and criteria, construction drawings, plans, technical specifications, engineer's reports, and all Owner provided information).

"Services" - The Basic Services and all Additional Services to be performed by the A/E pursuant to this Agreement and the Task Orders issued hereunder.

"Sites" - Those certain parcels of land located in the City of Chicago, County of Cook, and State of Illinois, to be described in the Task Orders.

"Site Plan" - The plan of the Site and the Improvements located thereon to be prepared by the A/E pursuant to Article C hereto.

"Special Conditions" - The Special Conditions of the Construction Contract between the Owner and the Contractor, supplementing the General Conditions of the Contract for Construction (HUD form 5370-A).

"Substantial Completion" - The milestone attained when the status of the Work, or a designated portion thereof, is sufficiently complete, in accordance with the Contract Documents, so that the Owner may occupy the Work or designated portion thereof for the use for which it is intended, and as certified by the A/E.

"Task Order" - The order for A/E Services that describes the Scope of Work required at a Site.

"Task Order Proposal" - The proposal submitted by the A/E in Response to a Request for Service or a Task Order Proposal Request and Authorization.

"Work" - All labor, materials, and services required to be performed by the Contractor to construct the Improvements in accordance with the Construction Contract, generally, and the Plans and Specifications, in particular.

"Work Breakdown Structure" or "WBS" - A fundamental technique for defining and organizing the total scope of a Project, using a hierarchical structure illustrating all superior and subordinate tasks.

ARTICLE A: PROPERTY INFORMATION

1. Description of the Properties

The Services that may be undertaken by the A/E will relate to Capital Maintenance, Rehabilitation, Modernization and Development at the following Properties. All sites are

within the Chicago City limits. Owner may subsequently acquire Properties requiring A/E's Services.

- A. Senior Housing: Approximately 8,955 dwelling type units located in approximately 58 high-rise and low-rise buildings among the CHA's properties are known as Senior Housing, constructed between the years 1955 and 1975. The various buildings, including clusters as well as stand-alone, are non-contiguous, and are spread over various sites. All units are either studio or one bedroom.
- B. Family Housing: Approximately 6,798 dwelling type units located in approximately fourteen (14) properties of the Chicago Housing Authority are known as Family Housing, constructed between 1937 and 1980. The Mid-rise and Low-rise buildings include clusters as well as stand-alone, are non-contiguous, and are spread over various sites. All units have either 1,2,3,4,5, or 6 bedroom configurations.
- C. Scattered Site Housing: Approximately 2,775 dwelling type units, known as Scattered Sites, were constructed between 1937 and 2000. The Low-rise, Townhouse, Walk-up and Single buildings include clusters as well as stand-alone units, are non-contiguous, and are spread over various sites throughout the Chicago city limits. All units have either 1, 2, 3, 4, 5, or 6 bedroom configurations.
- D. CHA Non-Dwelling Structures.

2. Description of the Modernization Activities

CHA shall contract separately with a contractor to perform the construction work needed at the Properties for the modernization activities required by the CHA. Such work at each of the Properties may include, but shall not be limited to, items No. A through No. F below:

- A. Exterior Work. Exterior work may include, but shall not be limited to, concrete, masonry, roofing, drainage system, windows, facade, sheet metal, entry doors with hardware, stoops, and steps at high-rise, townhouse, single family, low rise, row house style buildings and non-residential buildings.
- B. Interior Work. Interior work may include, but shall not be limited to, performing a property condition assessment of all interior dwelling areas, and developing Plans and Specifications to repair and/or procure and install such items or systems if the evaluation of the following items or systems indicates that the repair, replacement or installation of new equipment and systems is required. Such items or systems shall encompass the following: complete renovation of all interior non-dwelling and dwelling units and common areas, including carpentry repairs, partition relocation, door and hardware replacement; repair and/or replacement of all interior finishes; replacement of bathroom fixtures and accessories; kitchen and bath casework; kitchen appliances (note: the CHA may, at its option, purchase and install kitchen appliances under a separate contract); window treatments; insulation,

furnaces, diffusers, kitchen and bath exhaust fans, temperature controls, water heaters, plumbing fixtures, electrical devices, and lighting fixtures. All designated Sensory and Mobility units shall meet the CHA Accessibility Guidelines, UFAS, Section 504 requirements for HUD Certifications, and all state and local accessibility codes, ordinances and regulations.

- C. Environmental Work. Environmental work may include, but shall not be limited to, the following: In buildings where there has been significant fire or water damage, performing appropriate evaluation or testing to ascertain the presence of hazardous mold growth or other adverse environmental conditions; removal and disposal of hazardous mold growth or other adverse environmental conditions which may have occurred, in accordance with the rules and regulations promulgated by all agencies having regulatory authority; removal of asbestos containing materials; removal or complete encapsulation of any existing lead-based paint; complete repainting of all surfaces; performing all testing, performing all required monitoring and oversight of the environmental work, and producing all reports and certifications required to substantiate compliance with all applicable regulatory requirements for environmental work.
- D. Systems Work. Systems work may include, but shall not be limited to, security systems, all heating systems, all domestic, sanitary, and storm water systems, the plumbing supply and return piping, and trash collection and disposal systems; telephone service and systems and cable television service.
- E. Site Work. Site work may include, but shall not be limited to, paving, walkways, site fencing, landscaping, designing accessible routes to parking lots and public ways, parking lots, refuse areas, masonry screen walls, and site drainage and retention; within each Property, repair of or new service for water, electrical and/or gas utilities, and associated piping or electrical service conduit. All Site Work shall comply with all CHA Accessibility Guidelines, UFAS, Section 504 requirements for HUD Certifications, and related state and local accessibility codes, ordinances and regulations.
- F. Other. Other work required may include, but shall not be limited to, the repair, installation and/or procurement of such items or systems.

ARTICLE B: TASK ORDER ASSIGNMENT/ADMINISTRATION

In connection with Projects awarded by Task Orders in accordance with the provisions herein, the A/E agrees to provide complete architectural and engineering services as are usually and customarily performed in the Category or Categories of Services to which the A/E is assigned, which may include, but is not limited to, such services rendered or done by architects or engineers preliminary to, and in connection with, the preparation of all drawings and specifications, the preparation of related contract documents, assistance in obtaining construction bids and permits, construction administration activities, close out and post-completion/warranty phase professional services as the Architect of Record/Engineer of Record for such construction activities at the

assigned Sites(s) as set forth in the Task Orders. The A/E also agrees that its Construction Documents resulting from each awarded Task Order shall comply with all applicable laws.

The A/E understands and agrees that pursuant to the RFQ Event No. 1647, the CHA will enter multiple Indefinite Delivery Indefinite Quantity ("IDIQ") task order based Master Agreements and that each A/E under such Agreement will have opportunities for Task Orders under two processes.

The A/E, if selected to perform A/E services pursuant to a Task Order, shall act as the prime contractor and be solely responsible for the Scope of Work assigned in such Task Order. The A/E will be required to work with the Capital Construction Department and other CHA staff to satisfy the contracting objectives of awarded Task Orders.

1. Administrative Ordering Procedures

The CHA intends to have two (2) ordering processes for the Task Order assignments issued under the Agreement within the applicable Categories of Service, depending on CHA's Independent Cost Estimate for the A/E Services for the Project. The two (2) ordering processes are described below. **Note: A/E services valued at \$2,000,000 or greater are not subject to this Master Agreement.**

A. Process for awarding Task Orders with an estimated value of \$1,000,000 or less ("Process 1")

- i. Establishment of List Order. The Contracting Officer shall establish a list order of the selected A/E firms in each Category of Service, ranking the firms, highest to lowest, in order of their overall RFQ evaluation score. Task Orders will be offered to the A/E firms in the order they appear on the list. The first task order will be offered to the A/E firm first on the list, the second task order will be offered to the next A/E firm on the list and so on. Once the CHA reaches the end of the list, the CHA shall start at the beginning of the established list and continue to offer task orders to the next A/E firm on the list.
- ii. A/E services for Process 1 shall be ordered pursuant to a Task Order Proposal Request and Authorization ("TOPRA"), which will describe the Scope of Work for the Task Order.
- iii. The Owner shall present each TOPRA to the A/E next on the established list of selected A/Es for work that arises in the applicable Category of Service. The Owner shall give that A/E the first opportunity to prepare and submit a proposal to perform the TOPRA work.
- iv. On the basis of the Scope of Work, the A/E shall prepare and submit within the time period specified in the TOPRA a proposal to perform the TOPRA work for a Firm Fixed Fee. (See Article B(1)(C), below, for more detail concerning response).

- v. The Owner, at its discretion, may elect not to finalize a Task Order with the A/E next on the established list and issue the TOPRA to the next-in-line A/E firm if:
 - a. The A/E firm is unable to accept or perform the Task Order;
 - b. The A/E fails to submit a proposal to perform the Task Order work within the period of time designated for response in the TOPRA;
 - c. The Owner determines that the A/E's pricing is excessive for the Scope of Work identified in the TOPRA, and the parties cannot come to an agreement on a Firm Fixed Fee for Task Order work within ten (10) business days; and
 - d. The Owner determines that the A/E's proposed staffing or work plan to perform the Task Order Services will not meet the Owner's needs for the Project, or will not be advantageous to the Owner.

B. Process for awarding Task Orders with an estimated value greater than \$1,000,000 and less than \$2,000,000 ("Process 2")

- i. For Projects with an estimated professional design fee greater than \$1,000,000 and less than \$2,000,000, CHA's Department of Procurement and Contracts ("DPC") shall issue a Request for Services to *all* A/Es within the applicable Category of Service. **The A/E agrees to submit proposals to DPC in response to each RFS that will be issued by the CHA under this Agreement in their respective Categories of Services, except as otherwise permitted herein.**
- ii. The RFS will described the scope of work to be performed under the Task Order. The RFS will set forth.
 - a. A Scope of Work;
 - b. A schedule of work;
 - c. Any necessary background information needed for the Project; and
 - d. The required completion date(s).
- iii. If required, all A/Es in the designated Category of Services will participate in a site visit.
- iv. On the basis of the RFS, with input from the site inspection by the A/E, the A/E will forward a proposal for performing the Scope of Work on a Firm Fixed Fee basis.
- v. Proposals for the Services requested pursuant to an RFS shall be submitted within ten (10) business days of the issuance of the RFS unless otherwise

specified in the RFS. Requests for Information ("RFIs") shall be due on the second business day following the site visit, if applicable. Failure to provide a proposal on a timely basis may result in rejection of the proposal.

- vi. The A/E will have a fair opportunity to be considered for each RFS issued under the Agreement, except as provided in Article (B)(2) below.
- vii. The A/E may elect not to respond to up to a total of two (2) Requests for Service during each contract year of the Agreement. Submitting a proposal after the submission due date required by a RFS shall be considered a failure to respond to a RFS. Failure to respond to three (3) Requests for Service during a contract year shall be grounds to terminate the Agreement for cause.
- viii. If the A/E chooses not to respond to a RFS, the A/E shall notify the CHA in writing by the deadline to respond to such RFS.
- ix. The CHA's acceptance of a proposal submitted in response to a RFS will be demonstrated by the issuance of a Task Order and a Notice-to-Proceed signed by the Chief Procurement Officer or his/her designee, which directs the A/E to perform the Services in accordance with the Task Order. The A/E will not commence Services, and the CHA will not be liable for any costs incurred by, or for payments to be made to, the A/E without an executed Notice-to-Proceed. All approved work plans will be governed by the terms and conditions of the Task Order. Any terms and conditions in a work plan submitted by the A/E, which otherwise conflict with, are inconsistent with, or address matters not addressed in the Agreement or Task Order are void and of no effect on the CHA (notwithstanding any other approval contemplated or provided for under the Agreement), unless accepted in writing by the Chief Procurement Officer as a modification to the Task Order.
- x. The following factors will be considered in the awarding of Task Orders awarded pursuant to an RFS:
 - a. Quality of the A/E's proposal for performing the Task Order work, including:
 - 1. Proposed Firm Fixed Fee to perform the Scope of Work outlined in the RFS;
 - 2. Proposed duration to perform the Scope of Work outlined in the RFS;
 - 3. A/E's overall approach and work plan for performing the Scope of Work set forth in the RFS.

- b. Past performance on earlier Task Orders or other work under any contract with the CHA, including quality, timeliness, cost control and adherence to CHA's compliance requirements, including CHA's Section 3 and M/W/DBE Policies.
 - c. Potential impact on the ability of the A/E to perform previously awarded Task Orders.
 - d. CHA will review each submitted proposal and award a Task Order to the A/E whose Firm Fixed Fee Cost Proposal is deemed, in CHA's sole discretion, to provide the best value and be in the best interest of the CHA, unless the CHA cancels the RFS or, upon review of all Proposals, chooses not to award the Task Order.
- xi. The CHA reserves the right to negotiate the A/E's Firm Fixed Fee amount and project schedule with the A/E whose proposal and work plan is selected in response to a RFS, but may, at its option, accept a proposal and work plan submitted by the A/E firm without further negotiations. CHA further reserves the right to enter into negotiations with more than one A/E prior to awarding a Task Order issued pursuant to a RFS.
 - xii. The A/E acknowledges and agrees that the CHA may select from among those proposals submitted in response to a RFS the proposal deemed to be in the best interest of the CHA, may reject any and all proposals submitted in response to a RFS, or, in CHA's sole discretion, may choose not to award a Task Order should it be in CHA's best interest not to proceed. The A/E further acknowledges and agrees that any work plan submitted with A/E's proposal may be subject to HUD approval and that, if such approval is required, the A/E will not perform any Services relating to a work plan until such approval is obtained.

C. Responding to a TOPRA or a RFS

- i. The A/E will respond to a RFS or TOPRA by submitting a Firm Fixed Fee Proposal and work plan to the Department of Procurement and Contracts, which shall describe, as applicable:
 - a. The A/E's approach to performing those Services;
 - b. A Work Breakdown Structure, if applicable, for completion of Services and a list of the Deliverables to be provided;
 - c. A schedule for delivery;
 - d. A staffing schedule and list of subcontractors to be utilized;
 - e. A Firm Fixed Fee Proposal;
 - f. The requisite MBE/WBE/DBE and Section 3 Utilization Plans; and
 - g. Certificate of applicable Insurance(s).
 - h. Any other submissions specifically requested in the RFS or TOPRA.

- ii. All work plans submitted in response to a RFS or a TOPRA shall include a schedule of milestone events, work and deliverables, personnel and permissible reimbursable costs to be assigned to tasks, a list of subcontractor(s) or sub-consultants as appropriate, and a schedule of task reporting.
- iii. The A/E's response to a RFS or a TOPRA shall conform to the terms of the applicable request and the terms and conditions of this Agreement. The Firm Fixed Fee Proposals and work plans will constitute irrevocable offers for a period of one hundred eighty (180) calendar days after receipt by the CHA.
- iv. There shall be no additional compensation for the time and effort required of an A/E to formulate their proposals in response to a TOPRA or an RFS, including, but not limited to, any site visits and consultations required to determine the scope of work necessary to price the proposal. Any and all costs associated with the preparation of proposals are not reimbursable.
- v. The A/E acknowledges and agrees that:
 - a. The CHA is under no obligation to issue any work pursuant to a RFS or a TOPRA;
 - b. The level of Services requested may vary by Project; and
 - c. The CHA, at the sole discretion of the CHA's Contracting Officer, may enter into similar agreements with other A/Es or enter into other agreements for A/E professional services pursuant to separate procurements.

D. Award of Task Order and Notice to Proceed

The A/E services requested pursuant to a TOPRA or a RFS will be ordered by issuance of Task Orders by the CHA's Capital Construction Department through the Department of Procurement and Contracts. The A/E shall not proceed with any Services required by a Task Order until a Notice to Proceed is issued. The Notice to Proceed shall provide beginning dates and end dates for the Services required under each Task Order. All Task Orders are subject to the terms and conditions of this Agreement. The Agreement's terms and conditions shall supersede those appearing on the reverse side of the Owner's Purchase Order forms that are maintained for the Owner's records. If mailed, a Task Order is considered "issued" when the Owner deposits the order in the mail. Task Orders may not be issued orally, but may be issued by facsimile, or by electronic commerce methods.

2. Exceptions to Task Order Selection Process

All Task Orders shall be issued pursuant to one of the above processes, unless one of the following exceptions applies:

- A. The CHA's need for the services is so urgent that providing a fair opportunity to an A/E would result in unacceptable delays (due to a public exigency or emergency, in accordance with 2 C.F.R. 200.320(f)(2)).
- B. The Task Order must be issued on a non-competitive basis in the interest of economy and efficiency, because it is a logical follow-on to the Services being performed under a previously issued Task Order.
- C. It is necessary to place a Task Order with a particular firm to satisfy an A/E's minimum guarantee on its Agreement.

Any proposed utilization of the Exceptions to Task Order Selection Considerations must have the prior written approval of the CHA's Contracting Officer.

ARTICLE C: REQUIRED SERVICES

1. Architect of Record/Engineer of Record; Project Architect/Project Engineer

- A. AOR/EOR; Project Architect/Project Engineer
The A/E shall serve as the "Architect of Record"/ "Engineer of Record" for the Projects and shall designate a licensed Illinois architect / licensed Illinois engineer to serve as the Project Architect/Project Engineer. The Project Architect/Project Engineer shall be a principal or full time employee of the A/E, and shall be approved by the Owner to supervise the performance of all Services, including the preparation of all Plans and Specifications, all Construction Documents, and all Bidding and Contract Documents. The A/E shall certify that all work was performed under the direct supervision of the Project Architect/Project Engineer and that it conforms to the Chicago Building Code, as amended, the Illinois Accessibility Code, as amended, all applicable Federal, State and local building codes, as amended, including, but not limited to, the Uniform Federal Accessibility Standards, the Americans with Disabilities Act of 1990, as amended, Section 504 of the Rehabilitation Act of 1973, as amended and as implemented in 24 CFR Part 8, the Fair Housing Act Design Manual, and the design and construction requirements of HUD. All Services requiring professional architectural judgment shall be performed by the Project Architect/Project Engineer.
- B. Approval
The Project Architect/Project Engineer shall be approved by the Owner and, once approved by the Owner, the Project Architect/Project Engineer shall not be removed from his/her position without the express written consent of the Owner unless the Project Architect/Project Engineer leaves the employ of the A/E. If the Project Architect/Project Engineer does leave the employ of the A/E, then the A/E shall promptly submit the name of a replacement Project Architect/Project Engineer to the Owner for approval.

C. Seal

The AOR/EOR or Project Architect/Project Engineer shall affix his seal and signature to drawings and Plans and Specifications produced under this Agreement when required by law.

2. A/E Basic Services

In completing the Agreement and the Task Orders issued hereunder, the A/E shall perform the following Basic Services:

A. The A/E shall provide complete architectural and engineering services in connection with the Agreement as are usually and customarily performed, rendered or done by architects and/or engineers preliminary to and in connection with the preparation of plans, designs and specifications and the construction associated with the development, rehabilitation and renovation of dwelling and non-dwelling properties. The A/E shall also provide such plans and designs as are necessary to illustrate the scale and function of, and relationship between and among, Project components, including but not limited to, parking, traffic, life safety, and interior and exterior lighting including, but not limited to, all such work and services as described in the Agreement and Task Orders issued hereunder. The A/E shall also provide building commissioning work which may include, but shall not be limited to, the enhanced commissioning (design review, construction oversight and post completion activities) of the building envelope, mechanical, electrical, plumbing, fire protection and information technology systems by a third party.

B. Categories of Professional Services. In connection with the Projects, the A/E shall provide the CHA with the following basic professional services (as applicable to the Category of Service for the Project) including, but not limited to, the following:

- i. ADA/504 Planning and Assessments
- ii. ADA/504 Design Criteria
- iii. Architecture
- iv. Building Commissioning
- v. Civil Engineering
- vi. Construction Contract Administration
- vii. Cost Estimating
- viii. Electrical Engineering
- ix. Elevator Design and Rehabilitation
- x. Environmental Engineering
- xi. Fire Protection Engineering
- xii. Forensic Architectural and Engineering Services, Studies, Investigations and Reports
- xiii. Interior Space Planning and Interior Architecture
- xiv. Land/Plat Surveying

- xv. Landscape Architecture
- xvi. Mechanical Engineering
- xvii. Permit Coordination
- xviii. Plumbing Engineering
- xix. Price and Cost Analysis
- xx. Property inspection to meet due diligence requirements and to satisfy housing quality inspections
- xxi. Site Planning
- xxii. Security Design
- xxiii. Structural Engineering

Sub-consultants to the A/E. Sub-consultants retained by the A/E shall be subject to the same professional standards and requirements as the A/E. The A/E shall not retain or change any sub-consultants without the prior written consent of the CHA.

- C. Experienced Staff. The A/E shall furnish its skill and judgment and cooperate with the CHA and the CHA's duly authorized representatives/agents in achieving Project objectives. The A/E shall furnish efficient business administration and endeavor to furnish at all times adequate materials and other items necessary for the proper execution and completion of the Services in an expeditious and economical manner, consistent with the interests of the CHA. The A/E shall provide staff that is trained, experienced and expert in architecture and engineering, shall have all necessary licenses and certificates, and shall be fully familiar, and shall comply, with all Applicable Laws. For each Task Order, the A/E shall self-perform a minimum of 50% of the services required of the A/E's specific discipline.
- D. Compliance with Applicable Laws. The A/E shall perform its Services to conform to all applicable Federal, State and local laws codes, ordinances and regulations except as modified by any waivers which may be obtained with the approval of the CHA, including, but not limited to, those promulgated by HUD, the Environmental Protection Agency and the Occupational Safety and Health Administration. The A/E shall certify that the Contract Documents will conform to all Applicable Laws in effect when the Construction Documents are submitted for a building permit. The A/E shall prepare all Construction Documents required for approval by all governmental agencies having jurisdiction over a Project. The A/E shall make all changes in the Bidding and Contract Documents necessary to obtain governmental approval without additional compensation or reimbursement, except if, subsequent to the date the CHA issues a Notice to Proceed for the Work to be undertaken by the general contractor hired by the CHA, revisions are made to applicable codes or non-federal regulations requiring changes to the Plans and Specifications that result in additional cost, then the A/E shall be entitled to additional compensation at a fair and equitable rate to be agreed upon by the CHA and the A/E. The A/E, however, is obligated to notify the CHA of all significant code or regulatory changes within sixty (60) calendar days of their effective date, and such notification shall be required in order for the A/E to be entitled to any additional compensation or reimbursement.

- E. Designing within Funding Limitations. If applicable to a Project, the A/E shall perform the Services required under the Agreement and Task Orders issued in such a manner so as to cause all awards of Construction Contract(s) not to exceed an amount to be provided by the CHA in writing to the A/E prior to the commencement of the A/E's services for the Project. This fixed limit shall be called the "Maximum Construction Contract Cost." The amount may only be increased by written notice from the CHA. If a change to the Work results in an increase in the Maximum Construction Contract Cost, a modification to the Task Order between the CHA and A/E will be required. Should the overall bids for the Construction Contract(s) exceed the Maximum Construction Contract Cost, the CHA has the right to require the A/E to perform without additional compensation or reimbursement, redesigns, rebids and other services necessary to cause an award of the Construction Contract(s) within the Maximum Construction Contract Cost. In addition, the A/E and the CHA may mutually agree to decrease the Maximum Construction Contract Cost, but only by signing a written modification to a Task Order.
- F. Attendance at Meetings. In addition, as part of the Basic Services, on behalf of the A/E and together with the CHA, the Project Architect/Project Engineer shall attend, participate in and produce handouts and presentation materials, slide presentations or "PowerPoint™" presentations at all design conferences with the CHA, all meetings of the CHA's Board of Commissioners when requested by the CHA, all meetings with HUD when requested by the CHA, and all Construction Contract negotiations when requested by the CHA. At present, the CHA estimates the meeting schedule to be as follows for each Task Order, however, the Project Architect/Project Engineer may be required to attend additional meetings as needed:
- i. Two (2) CHA working group meetings, if applicable;
 - ii. Two (2) Town Hall meetings with residents and resident leadership, if applicable;
 - iii. Two (2) meetings with accessibility advocacy groups (in addition to any required meetings with the Mayor's Office of People with Disabilities in the due course of establishing a Project program and construction requirements); and
 - iv. Four (4) meetings with the City of Chicago's Department of Buildings' ("DOB") review consultant to obtain building permits.

3. Phases and Descriptions of Basic Services

The A/E shall provide as Basic Services all work described in this Article C(3) and all such other professional services necessarily implied from, incident to, and normally provided for the design of projects with a similar scope of work.

A. Pre-Design Phase

- i. Commencement of Phase
After receipt of a Notice to Proceed from the Owner, the A/E shall prepare and deliver Pre-Design Documents to the Owner in accordance with the requirements set forth in the Task Orders.
- ii. Elements
The Pre-Design Documents shall consist of a presentation of the complete Property Assessment, Project Program and any required studies i.e. feasibility. The A/E shall revise these documents consistent with the requirements and criteria established by the CHA to secure the CHA's written approval.
- iii. Accuracy of Information
The A/E shall make an independent assessment of the accuracy of the information provided by the Owner concerning existing conditions.
- iv. Required Activities
In this Phase, the A/E shall undertake all reasonably required activities, including, but not limited to, the following:
 - a. Attend the Project kick off meeting to review and discuss the goals of the Project, including the scope, schedule and budget;
 - b. Review all existing historical documents and information provided by the CHA;
 - c. Procure a site survey, if required;
 - d. Procure a geotechnical survey of the property, if required, for developing storm water management best practices;
 - e. Obtain information on existing site utilities, if required, from the City of Chicago Office of Underground Coordination (OUC);
 - f. Develop a comprehensive Property Assessment, which shall include, but not be limited to, the analysis of the following: site, building envelope and roof, building interiors, building mechanical, electrical, plumbing, fire protection, information technology and security systems;
 - g. Develop a comprehensive Project Program;
 - h. Develop a feasibility study or other related studies, if required;
 - i. Assist the CHA in the preparation of the Owners Project Requirements (OPR) as a third party Commissioning Agent for the project, if required;
 - j. Submit Pre-Design Documents at 100% completion to the CHA for review;
 - k. Make such changes and revisions in the Pre-Design Documents and provide such drawings, reproductions and supporting data necessary to meet the requirements of the Owner; and

1. Provide the required documents described in this Section based on the mutually-agreed upon program, schedule, and budget for the cost of the Work.

v. Required Documents

In this Phase, the A/E shall prepare all reasonably required documents, including, but not limited to, the following:

- a. Site Survey, if required;
- b. Geotechnical Survey, if required;
- c. OUC Report, if required;
- d. Property Assessment;
- e. Schedule of building types, unit distribution and bedroom count;
- f. Project Program;
- g. Feasibility or other related studies; and
- h. OPR, if required.

vi. Documents to Comport with Owner-Provided Information

The Pre-Design Documents shall be consistent with the following requirements and the following documents which have been or may be furnished to the A/E by the Owner:

- a. Description of features of, and facilities in, the Improvements required by the CHA;
- b. Any applicable Building Assessments;
- c. A site survey (if survey provided by the CHA);
- d. A geotechnical survey (if report provided by the CHA);
- e. An environmental report with a delineation of wetlands (if report provided by the CHA);
- f. Any report or other such work product as may be produced by any engineer, professional or professional consultant as may be retained by the CHA or at the CHA's direction as described in this agreement between the CHA and the A/E; and
- g. Project funding limitations set forth in the agreement between the CHA and the A/E.

The determination of the sufficiency of the information contained in the items set forth above and the interpretation of the surveys and reports set forth above shall be the responsibility of the A/E.

vii. Documents for Review

In this Phase, the A/E shall provide the Owner with copies of the Pre-Design Documents for review.

viii. Conclusion of Phase

The Pre-Design Phase will conclude upon the A/E's receipt of the Owner's written approval of the Pre-Design Documents.

B. Schematic Design Phase

i. Commencement of Phase

After receipt of written approval of the Pre-Design Documents from the Owner, the A/E shall prepare and deliver Schematic Design Documents to the Owner in accordance with the requirements set forth in the Task Orders.

ii. Elements

The Schematic Design Documents shall consist of a presentation of the complete Schematic Design of the Project, including all major elements of the Improvements, planned to promote economy both in construction and in administration and to comply with current program and cost limitations. The A/E shall revise these documents consistent with the requirements and criteria established by the CHA to secure the CHA's written approval.

iii. Required Activities

In this Phase, the A/E shall undertake all reasonably required activities, including, but not limited to, the following:

- a. Conduct and prepare a preliminary code analysis package, including, but not limited to, the following components; Occupancy classification, construction type, occupant load by area and floor, travel distances, accessibility, exit types, units and widths, plumbing fixture counts, parking requirements, fire resistance requirements;
- b. Conduct and document preliminary reviews with required regulatory agencies, including but not limited to, Bureau of Fire Prevention, Chicago Department of Transportation, Mayor's Office for People with Disabilities, and Chicago Department of Water Management.
- c. Prepare documents necessary to illustrate any required amendments to the public right of way (PROW), if required;
- d. Conduct a sustainable design workshop, if required, to develop a preliminary LEED checklist for the Project;
- e. Prepare an initial energy simulation model using the DOE2 modeling software, or such energy modeling software as required by the CHA;
- f. Prepare and present the design options for the Project for review by the CHA;
- g. Prepare the plans, elevations, sections, outline specifications and narratives, as required, to describe the applicable disciplines including, but not limited to architectural, structural, civil, landscape, mechanical, electrical, plumbing, fire protection and technology aspects of the selected design option, to assist the CHA

in its development of an Independent Cost Estimate at 100% completion;

- h. Assist the CHA in updating the Owners Project Requirements (OPR) as a third party Commissioning Agent for the project, if required.
- i. Prepare the Basis of Design (BOD) document as a third party Commissioning Agent for the project, if required;
- j. Submit design development documents at 100% completion to the CHA for review;
- k. Review and comment on the Independent Cost Estimate prepared by the CHA and make recommendations for potential cost reduction strategies;
- l. Attend meetings with working group committees that will be established by the CHA, to represent the local community needs and interests;
- m. Make such changes and revisions in the Schematic Design Documents and provide such drawings, reproductions and supporting data necessary to meet the requirements of the Owner; and
- n. Provide the required documents described in this Section based on the mutually-agreed upon program, schedule, and budget for the cost of the Work, which shall establish the Schematic Design of the Project illustrating the scale and relationship of the Project components.

iv. Required Documents

In this Phase, the A/E shall prepare all reasonably required documents, including, but not limited to, the following:

- a. Project specific analysis of codes, ordinances and regulations;
- b. PROW amendment documents, if required;
- c. Preliminary LEED checklist, if required;
- d. Initial energy simulation model;
- e. Plans, elevations and sections;
- f. Narratives;
- g. Outline Specifications;
- h. OPR, if required; and
- i. BOD, if required.

v. Documents for Review

In this Phase, the A/E shall provide the Owner with copies of the Schematic Design Documents for review.

vi. Conclusion of Phase

The Schematic Design Phase will conclude upon the A/E's receipt of the Owner's written approval of the Schematic Design Documents.

C. Design Development Phase

i. Commencement of Phase

After receipt of written approval of the Schematic Design Documents, the A/E shall prepare and submit the Design Development Documents to the Owner in accordance with the Schedule included in the Task Order. The A/E shall revise the Design Development Documents consistent with the requirements and criteria established by the Owner to secure the Owner's written approval, and limit any and all ambiguous, vague, or incomplete design information that might cause needless additional cost to the Owner that may be discovered through the bidding process.

ii. Elements

The Design Development Documents shall consist of a presentation of the complete design of the Project, including all major elements of the Improvements, planned to promote economy both in construction and in administration and to comply with current program and cost limitations. The A/E shall revise these documents consistent with the requirements and criteria established by the CHA to secure the CHA's written approval.

iii. Design Standards

In this Phase, the A/E shall design the Project to meet all of the design standards set forth in the Agreement and in accordance with the criteria set forth in the following:

- a. Public Housing Development Handbook. HUD 7417 Rev-1 CHG-12 (December 21, 1992), as amended
- b. The Public Housing Modernization Standards Handbook. HUD 7485.2 CHG-2 (March 29, 1993), as amended
- c. HUD Lead Based Paint Poisoning Prevention, codified at 24 CFR 35; 24 CFR 965, Subpart H; 24 CFR 968.9(e); and as modified by various HUD circulars
- d. Uniform Federal Accessibility Standards codified at 24 CFR 40

iv. Required Activities

In this Phase, the A/E shall undertake all reasonably required activities, including, but not limited to, the following:

- a. Update the code analysis package, including, but not limited to, the following components; occupancy classification, construction type, occupant load by area and floor, travel distances, accessibility, exit types, units and widths, plumbing fixture counts, parking requirements, fire resistance requirements;
- b. Conduct and document preliminary reviews with required regulatory agencies, including, but not limited to, Bureau of Fire

Prevention, Chicago Department of Transportation, Mayor's Office for People with Disabilities, and Office of Emergency Management and Communication;

- c. Update the LEED checklist, if required;
- d. Update the energy simulation model using the DOE2 modeling software, or such energy modeling software as required by the CHA;
- e. Review approaches with the CHA for the construction phasing of the Project, if required;
- f. Prepare graphics, presentation materials, illustrations and display materials as needed to describe Design Development Phase activities;
- g. Prepare draft "presentation boards," to present Design Development Phase standard products and final "presentation boards" to illustrate final approved standard materials, as requested;
- h. Participate in meetings with the Owner as requested to complete the Design Development Phase;
- i. Prepare the plans, elevations, sections, specifications and narratives, as required, to describe the applicable disciplines including, but not limited to, architectural, civil, landscape, mechanical, electrical, plumbing, fire protection and technology aspects of the selected design option, to assist the CHA in its development of an Independent Cost Estimate at 100% completion;
- j. Prepare Construction Cost Estimates in accordance with the provisions set forth in this Agreement, if required;
- k. Submit design development documents at 100% completion to the CHA for review;
- l. Assist the CHA in updating the Owners Project Requirements (OPR), as a third party Commissioning Agent for the project, if required;
- m. Update the Basis of Design (BOD) document, as a third party Commissioning Agent for the project, if required;
- n. Perform a review of the design development documents at 100% completion as a third party Commissioning Agent for the project, if required;
- o. Review and comment on the Independent Cost Estimate prepared by the CHA and make recommendations for potential cost reduction strategies;
- p. Attend meetings with working group committees that will be established by the CHA, to represent the local community needs and interests;
- q. Make such changes and revisions in the Design Development Documents and provide such drawings, reproductions and supporting data as necessary to meet the requirements of the CHA; and
- r. Provide the required documents described in this Section based on

the mutually-agreed upon program, schedule, and budget for full cost of the Work, which shall establish the complete design of the Project illustrating the scale and relationship of the Project components.

v. Design Development Required Documents

In this Phase, the A/E shall prepare all reasonably required documents, including, but not limited to, the following:

- a. Updated project specific analysis of codes, ordinances and regulations;
- b. PROW amendment documents, if required;
- c. Updated LEED checklist, if required;
- d. Updated energy simulation model;
- e. Recommendations for phasing of construction;
- f. Presentation boards, including renderings;
- g. Plans, elevations and sections, sufficient to fix and illustrate Project scope and character in all essential design elements;
- h. Narratives;
- a. Specifications; and
- b. Construction Cost Estimates and analyses, if required.
- c. OPR, if required; and
- d. BOD, if required.

vi. Documents for Review

In this Phase, the A/E shall provide the Owner with copies of the Design Development Documents for review.

vii. Conclusion of Phase

The Design Development Phase will conclude upon the Owner's written approval and acceptance of the Design Development Documents.

D. Construction Documents Phase

i. Commencement of Phase

After receipt of the Owner's written approval of the Design Development Documents, the A/E shall prepare and submit the Construction Documents to the Owner in accordance with the Task Order.

ii. Elements

The Construction Documents shall include all documents listed in the Definition Section of this Agreement, together with details of all work to be performed; all material; workmanship; finishes; equipment required for the architectural, structural, mechanical, electrical and site work; survey maps furnished by the CHA and approved by A/E; and direct reproduction of any logs and subsurface soil investigations.

iii. Compliance with Requirements

The Plans and Specifications shall comply with all requirements and conditions of any approvals, certificates or permits given by any and all governmental authorities and agencies having jurisdiction over the design, construction, existence or use of the Project. The Plans and Specifications shall require that no materials incorporated into the Work shall contain asbestos-containing materials. Asbestos-containing materials shall mean materials containing one percent (1%) or more of asbestos by weight.

iv. Required Activities

In this Phase, the A/E shall undertake all reasonably required activities including, but not limited to, the following:

- a. Finalize the code analysis package, including, but not limited to, the following components; occupancy classification, construction type, occupant load by area and floor, travel distances, accessibility, exit types, units and widths, plumbing fixture counts, parking requirement, fire resistance requirements;
- b. Finalize the LEED checklist, if required;
- c. Prepare the LEED submittal for United States Green Building Council review, if required;
- d. Update the energy simulation model using the DOE2 modeling software, or such energy modeling software as required by the CHA;
- e. Finalize approaches with the CHA for the construction phasing of the Project, if required;
- f. Participate in meetings with the Owner as requested to complete the Construction Documents Phase;
- g. Prepare the Construction Documents, as required, to describe the applicable disciplines including, but not limited to, architectural, structural, civil, landscape, mechanical, electrical, plumbing, fire protection and technology aspects of the selected design option, to assist the CHA in its development of an Independent Cost Estimate at 60%, 90% and 100% completion;
- h. Identify allowances for the cost of elements not designed;
- i. Prepare Construction Cost Estimates in accordance with the provisions set forth in this Agreement, if required;
- j. Submit the Construction Documents at 60%, 90% and 100% completion to the CHA for review;
- k. Assist the CHA in updating the Owners Project Requirements (OPR), as a third party Commissioning Agent for the project, if required;
- l. Update the Basis of Design (BOD) document, as a third party Commissioning Agent for the project, if required;
- m. Prepare the commissioning specifications, as a third party Commissioning Agent for the project, if required;

- n. Perform reviews of the construction documents at 60%, 90% and 100% completion, as a third party Commissioning Agent for the project, if required;
- o. Review and comment on the Independent Cost Estimate prepared by the CHA, and make recommendations for potential cost reduction strategies;
- p. Coordinate, implement and expedite all Construction Document submissions for permit(s) to all required agencies, departments and bureaus. This should include, but is not limited to, preparing all required applications, coordinating all required meetings, and monitoring the progress of the permit(s) with the respective agencies, departments and bureaus. Also included should be all modifications to the Construction Documents to comply with all codes and requirements;
- q. Submit Construction Documents for permits, and modify Construction Documents to comply with required City and code requirements;
- r. Attend meetings with working group committees that will be established by the CHA, to represent the local community's needs and interests;
- s. Ensure all changes and revisions from previous phases are addressed and reconciled, and provide such drawings, reproductions and supporting data necessary to meet the requirements of the Owner and applicable governing agencies; and
- t. Provide the required documents described in this Section based on the mutually-agreed upon program, schedule, and budget for the cost of the Work, which shall establish the constructability of the Project illustrating the scale and relationship of the Project components.

v. Required Documents

The Construction Documents shall include but shall not be limited to:

- a. PROW amendment documents, if required;
- b. Updated LEED checklist, if required;
- c. Updated energy simulation model;
- d. Recommendations for phasing of construction;
- e. Presentation boards, including renderings;
- f. Plans, elevations and sections, sufficient to fix and illustrate Project scope and character in all essential design elements;
- g. Narratives;
- h. Specifications;
- i. Solicitation for Bids;
- j. Form of Contract;
- k. General Conditions for Construction Contracts;
- l. Special Conditions;

- m. Construction Cost Estimates and analyses, if required;
- n. OPR, if required; and
- o. BOD, if required.

vi. Documents for Review

In this Phase, the A/E shall provide the Owner with copies of the Construction Documents for review.

vii. Conclusion of Phase

The Construction Documents Phase shall conclude upon the A/E's completion of the Construction Documents and receipt of the Owner's written approval thereof.

E. Construction Bidding Phase

i. Commencement of Phase

After receipt of the Owner's written approval of the Construction Documents, the A/E shall assist the Owner in administering the bidding and award of the Construction Contract in accordance with the Schedule attached to the Task Order.

ii. Required Activities

During this Phase, the A/E shall perform all reasonably required activities, including, but not limited to, the following:

- a. Respond to Requests for Information (RFIs) including developing drawings and clarifications to be issued to potential bidders as necessary;
- b. Assist in the preparation of any addenda prior to bid submission date;
- c. Attend Pre-Bid Conference(s) and site visit as necessary;
- d. Attend public bid opening;
- e. Assist the Owner in analyzing the bids received, including analysis of CSI Division costs, Quantity Takeoffs and CPM schedules;
- f. Attend pre-award conference(s), surveys; and
- g. Revise drawings and/or scope as necessary to achieve construction goals and budget.

iii. Conclusion of Phase

The Bidding and Award Phase will conclude upon the Owner's award of the Construction Contract to the Contractor.

F. Construction Administration Phase

i. Commencement of Phase

After the Owner has executed the Construction Contract, the A/E shall, in a prompt and timely manner and in accordance with the Schedule attached to the Task Order, administer the Construction Contract and all Work required by the Construction Documents.

ii. Defects and Deficiencies

During this Phase, the A/E shall use its best efforts to protect the Owner against defects and deficiencies in the execution and performance of the Work.

iii. Required Activities

During this Phase, the A/E shall perform all reasonably required activities related to administration of the Construction Contract, including, but not limited to:

- a. Participate in pre-construction conference(s), when requested by the CHA;
- b. Make all modifications to the Construction Documents required to obtain building permits and all other required permits and authorizations from all governmental authorities and agencies having jurisdiction over the design, construction, existence or use of the Project;
- c. Assist in monitoring the progress of the Work per the critical path schedule submitted by the Contractor;
- d. Make recommendations to the CHA for solutions to special problems or changes necessitated by special conditions encountered in the course of construction;
- e. Attend dispute resolution conferences when requested by the CHA;
- f. Attend weekly Project meetings regarding the progress of the Work as requested by the CHA and record all actions and decisions made at such job meetings in written minutes to be provided to the CHA on a weekly basis;
- g. Conduct weekly site visits in order to monitor the quality and progress of the Work;
- h. Require any sub-consultant providing services to the A/E to visit the Site at least twice weekly during the time that construction is occurring on the portion of the Work related to its discipline and report in writing to the A/E;
- i. Submit a weekly written field report of work in progress and overall construction status;
- j. Maintain photo documentation and log work in progress;
- k. Receive, review, audit and comment on monthly construction progress and budget status reports from the CHA;
- l. Notify the CHA in writing of any defects or deficiencies in the Work within five (5) calendar days of discovery thereof, regardless of method of discovery;

- m. Notify the CHA in writing of any matter of dispute with the Contractor within five (5) calendar days of receipt of any notice of such dispute, whether verbal or written;
- n. Promptly respond to requests for information (RFIs) from the Contractor no later than five (5) business days after receipt thereof and, if necessary, prepare bulletins for Contractor pricing of any potential change orders or change orders;
- o. Determine the validity of Contractor's RFI by identifying 'frivolous' or 'incidental' requests for which answers have been previously provided in drawings, sketches, notes, and/or technical specifications;
- p. Review and approve the Contractor's shop drawings and other submittals for conformance to the requirements of the Construction Contract;
- q. Review the Contractor's shop drawings and other submittals for conformance to the requirements of the OPR, BOD and Construction Documents, as a third party Commissioning Agent for the project, if required;
- r. Develop construction checklists, as a third party Commissioning Agent for the project, if required;
- s. Oversee and document functional performance testing, as a third party Commissioning Agent for the project, if required;
- t. Conduct consistent regular commissioning team meetings to monitor the progress of the project, as a third party Commissioning Agent for the project, if required;
- u. Conduct owner training, as a third party Commissioning Agent for the project, if required;
- v. Revise drawings to correct errors, clarify intent or accommodate change orders;
- w. Review all potential change orders, claims, disputes or matters in question regarding Work performed by the Contractor or its subcontractors and deliver written opinions regarding the same to the CHA within thirty (30) days after receipt of same;
- x. Assist in negotiating, prepare cost or price analysis for, and counter-sign change orders (as directed by Owner). Cost or price analyses include, but are not limited to, Independent Cost Estimates. The Independent Cost Estimates shall include sufficient detail that will assist the Owner in comparing the A/E's estimated costs to the Contractor's cost proposal. (An Independent Cost Estimate format approved by the Owner is attached hereto as Exhibit B);
- y. Prepare Independent Cost Estimates within five (5) calendar days of the creation of a bulletin as directed by the CHA;
- z. Attend all monthly pay request and construction meetings;
- aa. Review, approve, and certify all of Contractor's periodic and final Requests for Payment within ten (10) days of receipt thereof;
- bb. Procure and oversee testing such as, but not limited to, soil analysis,

pressure tests, etc., by qualified parties as necessary and as directed by CHA;

- cc. Prepare a written punch list for all deficient and incomplete contract work items per the requirements of the Construction Contract;
- dd. Issue a Certificate of Substantial Completion when the Contract work has been reviewed and found to be sufficiently complete and ready for occupancy;
- ee. Review all Work following Contractor's completion of punch list and determine whether final acceptance is appropriate; and
- ff. Prepare a written certificate stating that all punch list items have been satisfactorily completed.

iv. Conclusion of Phase

The Construction Administration Phase will conclude upon the Owner's receipt and acceptance of the A/E certificate stating that all punch list items have been satisfactorily completed and the Owner's delivery of a written statement accepting the Work in place, and issuance of a Certificate of Substantial Completion.

G. Close-Out Phase

i. Commencement of Phase

After the completion of the Work and after the Owner's receipt and acceptance of the A/E's certificate stating that all punch list items have been satisfactorily completed, and the CHA's delivery of a written statement accepting the Work in place, the A/E shall, in a prompt and timely manner and in accordance with the Schedule attached to the Task Order, review the Work with the Contractor and the CHA and perform customary completion, acceptance and close-out activities related to the Work.

ii. Required Activities

The A/E shall perform customary close-out activities related to the Work, including, but not limited to, the following:

- a. Receive and certify that the Contractor's bound operation and maintenance manuals comply with the Construction Contract requirements;
- b. Receive and certify that the written warranties of workmanship and system operation provided by the Contractor and its sub-contractors, together with any required vendor material guarantees, are complete and in compliance with the Construction Contract requirements;
- c. Receive and certify that the Contractor has secured all inspection sign-offs on the permits covering the Work;
- d. Verify that all required equipment warranties and test reports are included in the Contractor's bound operating and maintenance manuals and that they meet design requirements;

- e. Assemble an album of annotated photograph records showing the progress of the Work through final acceptance, to be submitted in hard copy printed album and on CD/DVD in format acceptable to the Owner;
 - f. Issue the Commissioning Report, as a third party Commissioning Agent for the project, if required;
 - g. Prepare and submit electronic and hard copy Record Drawings within thirty (30) calendar days after the commencement of the Close-Out Phase;
 - h. Comply with all other terms and conditions of the Owner's printed close-out instructions as contained in the Contractor's Construction Contract; and
 - i. Conduct a Lessons Learned Meeting with the CHA and Contractor and develop a Lessons Learned Report for use on future projects.
- iii. Conclusion of Phase
The Close-Out Phase will conclude upon the Owner's receipt and acceptance of all manuals, warranties, guarantees and other close-out materials and issuance and execution of the Certificate of Acceptance.

H. Post Completion/Warranty Phase

- i. Commencement of Phase
After the Owner has received and accepted all manuals, warranties, guarantees and other close-out materials and has executed the Certificate of Acceptance, the A/E shall, in a prompt and timely manner and in accordance with the Schedule included with the Task Order, perform post-completion and warranty activities
- ii. Required Activities
The A/E shall perform all post-completion and warranty activities as are usually and customarily performed, rendered or done by architects in connection with the construction, rehabilitation and completion of residential buildings, including, but not limited to, the following:
 - a. Advise and assist the Owner in construction matters for a period of twelve (12) months after completion of the Project, provided, however, that such assistance is not to exceed forty (40) hours of service and one (1) non-warranty trip away from the place of business of the A/E.
 - b. Perform an inspection of construction work, material, systems and equipment no earlier than nine (9) months and no later than ten (10) months after completion of the Construction Contract and make a written report to the Owner;
 - c. Consult with and make recommendations to the CHA regarding all equipment and warranties;

- d. Perform deferred and seasonal testing, as a third party Commissioning Agent for the project, if required;
 - e. Issue the Final Commissioning Report, as a third party Commissioning Agent for the project, if required;
 - f. Upon receipt of a written request from the Owner, and following Owner approval of a proposal for Additional Services and execution of a modification to a Task Order issued hereunder pursuant to the Additional Services section hereof, conduct additional warranty inspections and prepare reports regarding such inspections; and
 - g. Complete a warranty inspection report pursuant to HUD requirements in both hard copy and electronic formats; and
 - h. Finalize the Lessons Learned Report.
- iii. Conclusion of Phase
The Post Completion/Warranty Phase will conclude upon the later of the expiration of such twelve (12) month period or conclusion of any Additional Services provided pursuant to any amendments entered into pursuant to the Agreement.

4. Deliverables

A. Generally

In connection with its performance of the Services, the A/E shall prepare and/or provide the Deliverables to the Owner at the time designated herein, or at such other time as the Owner shall designate in the Task Orders issued hereunder. The A/E shall prepare certain Deliverables that include, but are not limited to, documents, data, studies, reports, findings or information in any form prepared or assembled either in hard copy, electronic media as required by Owner or in any other form and as further described herein (hereinafter, collectively "Deliverables"). The Owner reserves the right to reject any or all Deliverables which, in the reasonable judgment of the Owner or Owner's Representative, are incomplete or do not meet the required standard of performance. The Owner will notify the A/E in writing of any deficiencies the Owner identifies with respect to a Deliverable within thirty (30) days of receipt of such Deliverable, and the A/E shall have a period of not more than thirty (30) days to correct any deficiency so noticed by the Owner.

B. Owner's Acceptance

The Owner may, in its sole and absolute discretion, accept a partial or incomplete Deliverable from the A/E for review, but such acceptance shall not constitute a waiver of the Owner's right to insist upon completion and/or correction of such Deliverable.

C. Format of Architect/Engineer Deliverables

- i. The Deliverables may include reports, spread sheets, schedules, sketches, photographs, presentations and illustrations with descriptive graphics as required, models, drawings, construction Plans and Specifications, construction administration records or reports, surveys, inspections, recommendations and due diligence documents, both electronic and hard copies.
- ii. The A/E shall provide the Deliverables in electronic and “hard-copy” formats as follows:
 - a. Documents
 - 1. Electronic
 - Native file (i.e. word, excel etc.)
 - pdf
 - 2. Hard-Copy: Quantity to be determined by the CHA Project Manager.
 - b. AutoCAD Drawings:
 - 1. Electronic
 - dwf
 - pdf
 - 2. Hard-Copy: Quantity to be determined by the CHA Project Manager.
- iii. Before the Deliverables are provided in electronic format, the A/E must perform the following procedures:
 - a. Remove all extraneous graphics outside the border area and set active parameters to standard setting or those in the seed file.
 - b. Assure all reference files are attached without device or directory specifications.
 - c. Compress and reduce all design files using the appropriate utilities (note: a digital media copy of the decompression utility will be provided with the deliverable if appropriate. (e.g. PKUNZIP)).
 - d. Include all files, graphic and non-graphic required for the Project.
 - e. Assure that none of the files are device and directory dependent.
 - f. Document all non-standard fonts.
 - g. Check all transferred media, software and data for viruses with recognized, commercial quality anti-virus software and specify, in writing, the name and version of the anti-virus software.
 - h. Upon request, the A/E shall supply the Owner with a copy of the actual software used for virus checking and removal.

- iv. The A/E shall maintain firm capability to collaborate with the CHA, the CHA's other consultants, and the Contractor electronically via e-Builder®, which has been previously selected by the CHA for project management. The A/E must maintain a minimum of two (2) employees at all times during business hours, assigned to the Project, who are able to collaborate with the CHA, its consultants and the Contractor during all phases of the Project via e-Builder®.

The A/E shall maintain adequate Information Technology systems to support the utilization of e-Builder as the CHA's web-based project management system.

D. Accounting Statements

The A/E shall submit separate statements for costs incurred on the Project for each separate building within the Site (noting each CHA building designation number).

5. Time of Performance

The A/E schedule for performing the services required by each Task Order shall be set forth in the Notice to Proceed issued by the CHA. CHA, at its option, may elect to suspend any services required under a Task Order until such time as CHA is ready to commence construction on the assigned Task Order. In the event that such services are suspended for a period in excess of thirty (30) days, A/E shall advise CHA if further assessment of the Project and/or updates are required to any of the Construction Documents, due to the passage of time.

6. Performance Standards

The A/E shall perform all Services required under this Agreement in accordance with industry standards in Chicago, Illinois and with the degree of knowledge, skill, care and diligence normally shown by an entity performing services of a scope, purpose and magnitude comparable with the nature of the Services to be provided under this Agreement and the Task Orders issued hereunder. The A/E shall at all times assure quality, timeliness, efficiency and creativity in rendering and completing the Services. The A/E agrees that performance of the Services in a satisfactory manner shall include timely response to the Owner's requests and understands that time is of the essence in this Agreement. Accordingly, the A/E shall return all telephone calls and respond to all electronic mail on a timely basis but in no event shall such response take more than one (1) business day.

The A/E shall, without additional compensation, prepare addenda and bulletins required to correct or clarify errors, omissions or ambiguities. The CHA reserves the right to recover, from the Architect, damages incurred by the CHA resulting from errors or omissions in the construction documents prepared by the A/E.

7. The A/E Additional Services

A. Description of Additional Services

Additional Services are all those services provided by the A/E on a Project for the Owner that are not defined as Basic Services herein. Additional Services include, among other things:

- i. Major revisions in the scope of work described and depicted in previously approved drawings, Plans, Specifications and other documents due to causes beyond the control of the A/E and not due to any errors, omissions, or failures on the part of the A/E to carry out obligations otherwise set out in this Agreement and/or the Task Orders, or not otherwise required of the A/E under other provisions of this Agreement;
- ii. An increase in the duration of the Construction Administration Phase due to an extension of the anticipated construction schedule more than sixty (60) days, due to causes beyond the control of the A/E and not due to any errors, omissions, or failures on the part of the A/E; and
- iii. Participation on the Capital Construction Department's Standards Committee.

B. Claims for Additional Costs

In the event that Additional Services not described in the Task Order are discovered to be necessary or are requested by the Owner, the A/E must submit a proposal on a form approved by the Owner for the Additional Services within seven (7) calendar days of said discovery or request. Upon receipt of written approval, the A/E shall proceed to complete the Additional Services. Without said written approval, the A/E shall not be allowed any additional costs. In any event, the A/E may not make any claims against CHA for equitable adjustments, additional costs, direct or indirect, or fees after completion of a Task Order assignment.

C. Written Addendum or Amendment

The A/E shall perform all Additional Services requested by the Owner pursuant to this Agreement and the Task Orders issued hereunder, provided that the parties shall agree to a description of such Additional Services and the terms of performance of said Additional Services in a modification to a Task Order prior to the performance of such Additional Services. The Owner shall not be responsible for or obligated to pay the A/E for any Additional Services provided by the A/E under any Task Order unless such Additional Services are so authorized in writing in accordance with Article I(5). In any event the A/E may not make any claims against the Owner for equitable adjustments; additional costs, direct or indirect; or fees after completion of the Task Order.

ARTICLE D: TERM OF AGREEMENT

1. Term

The term of this agreement is from May 1, 2017 through April 30, 2019 (the "Term"). Task Orders may only be issued during the Term of the Agreement. For purposes of this Article D, Task Orders shall be deemed issued during the Term if a completed Task Order Authorization Package has been submitted to CHA's Department of Procurement and Contracts. At the Agreement's expiration date, the Agreement's terms and conditions shall continue to remain in effect with respect to any Task Order for which a Notice to Proceed has been issued to the A/E prior to the expiration of the contract term. The A/E acknowledges and agrees that Task Orders may be issued through the last day of the term of the Agreement, and that Task Order work not completed within the Term shall continue until the entire Scope of Work required under an assigned Task Order has been completed in accordance with its respective terms and the terms of this Agreement, all Work has been accepted by the CHA, and the post-completion/warranty phase has concluded.

2. Extension Options

The CHA, at its sole discretion, may extend this Agreement for two (2), one (1) year option periods, subject to the satisfactory performance of the A/E. Any extension hereunder shall be under the same terms and conditions as this original Agreement. The Agreement shall be modified to reflect the time extension in accordance with the provisions of Article (I)(5) of this Agreement.

ARTICLE E: COMPENSATION AND PAYMENT

1. Not-to-Exceed Amount for Basic Services

A. Not-to-Exceed Amount for Basic Services

This is an indefinite delivery, indefinite quantity task order contract as defined in the HUD Procurement Handbook (7460.8 Rev.-2) and as such, the A/E shall be entitled to perform Task Orders for work with a minimum value of Twenty Thousand and 00/100 (\$20,000.00) under this Agreement. The initial compensation under this Agreement shall not exceed Seven Hundred Fifty Thousand and 00/100 Dollars (\$750,000.00) (the "Not-to-Exceed Amount"). The A/E acknowledges that the CHA is not obligated to pay and the A/E is not entitled to earn more than the minimum amount set forth above, and that in order to receive more than the minimum amount, the A/E must first be awarded Task Orders in excess of the minimum amount under the processes set forth herein. Upon the assignment of a Task Order and issuance of a Notice-to-Proceed to the A/E, the CHA shall pay the A/E an agreed upon Firm Fixed Fee to provide the Services as set forth in the Task Order, in accordance with Article E(1)(B). The A/E agrees to waive any and all claims for payment of work which would result in billings beyond the agreed upon

fee established in an awarded Task Order without a prior written modification to the Task Order authorizing said additional work and additional fee. The A/E acknowledges an affirmative duty to monitor its performance and billings to ensure that the scope of work is completed within the agreed upon fee as set forth in the Task Orders.

B. Schedule of Payment for Basic Services

For the Basic Services provided pursuant to a Task Order and in accordance with the provisions herein, the Owner shall make Progress Payments. Progress Payments, as defined below, for a Task Order shall not exceed the amount established for such Task Order. The A/E's Services may be implemented in phases; therefore, the Owner shall make Progress Payments in accordance with the phasing of the design and construction of the Project set forth in the Task Order. The amount of each Progress Payment will be calculated as set forth below. The A/E will be paid each month for that portion of the Services that it has completed. For purposes of calculating such Progress Payments, the Services will be divided into four (4) main components, and the total payment (portion of the total Fixed Fee) attributable to the Services provided will be divided as follows:

Design Fee:	Sixty-five percent (65%) of the Fixed Fee
Construction Administration Fee:	Twenty-five percent (25%) of the Fixed Fee
Project Closeout Fee:	Nine percent (9%) of the Fixed Fee
Post Completion/Warranty Fee:	One percent (1%) of the Fixed Fee

The Owner shall make such Progress Payments as follows:

Design Fee Payments: will be made on a monthly basis and will be based on the percentage of design documents complete. The A/E can bill the Owner for the percentage of the Design Fee indicated in the parentheses when the following documents are complete: Pre-Design Documents (10%), Schematic Design Documents (15%), Design Development Documents (25%), Construction Documents (40%) and Construction Bidding Documents (10%).

Construction Administration Fee Payments: will be made on a monthly basis and will be based on the percentage of the Work completed. The percentage of the Work completed will be computed by the amount paid to date to the Contractor, divided by the total Construction Contract value.

Project Closeout Fee Payment: will be a lump sum payment made when all Deliverables and documentation are received from the A/E and accepted by the Owner.

Post Completion Fee Payment: will be a lump sum payment made upon the later of the expiration of the eighteen (18) month post completion/warranty period or

conclusion of any Additional Services provided pursuant to any modifications of a Task Order.

2. Payment for Additional Services

The Owner will pay the A/E for Additional Services agreed to in a proposal on a form approved by the Owner executed by the Owner's Contracting Officer, or designee, and the A/E pursuant to Article C(7)(B) above. Payment for all such Additional Services shall be in an amount and upon the terms set out in such proposal and agreed upon by the parties. Each such proposal shall provide for a fixed price or, where payment for such Additional Services is to be on an hourly basis or other unit pricing method, for a maximum amount. Each such proposal shall also provide for a method of payment, including, at a minimum, whether payment will be made in partial payments or in a lump sum and whether it will be based upon a percentage of completion of services billed.

3. Invoicing and Payments

A. Invoices

All payments to the A/E, in the manner hereinafter provided, shall be based upon the percentage of the Services complete for the period in question per Task Order (herein referred to as a "Progress Payment"). The A/E shall, by the fifth (5th) working day of each calendar month beginning with the second month after commencement of each Project pursuant to an awarded Task Order, furnish to the Owner an itemized application for Progress Payment (herein referred to as an "Application for Payment") supported by such data substantiating the A/E's right to payment as the Owner may require, through the last day of the preceding calendar month. The Application for Payment shall include, but shall not be limited to, identification of the Phase of the Project, a description of the Basic Services performed and completed and the dates of completion, an itemization of the Reimbursable Expenses, details of the Additional Services performed, if any, the name of the A/E, and the address to which payment should be sent. All invoices shall be submitted to the Owner's Accounts Payable Department, 60 E. Van Buren Street, 11th Floor, Chicago, Illinois 60605.

B. Time of Payment

Upon the A/E's proper submission of the Application for Payment, the Owner shall review the Application for Payment and, if the A/E has performed the Services in conformance with the terms of the Agreement and provided all required documentation for the Application for Payment, make payment within sixty (60) days of the Owner's receipt and acceptance of the Application for Payment.

C. Support of Applications for Payment

Each Application for Payment shall be supported by the following documentation on forms to be supplied by the Owner:

- i. Lien waiver waiving any lien rights against the Project, the Work, Site and any monies payable to the A/E for the entire amount covered by said Application for Payment
- ii. Certificates, statements and affidavits showing that portion of the Services covered by said Application for Payment has been done and material delivered free of liens
- iii. Submittal letter, including, without limitation, a billing summary for the Task Order.
- iv. Sub-consultants' trailing and final lien waivers covering all payments received by any sub-consultants of the A/E
- v. Detail of any Reimbursable Expenses, with copies of actual receipts/invoices.
- vi. Such other documents in form, scope and substance as the Owner shall require

4. Availability of Funds/Non-Appropriation

The Funding for the Project described in this Agreement is subject to: (a) availability of federal funds from HUD; (b) the approval of funding by the Owner's Board of Commissioners; and (c) the A/E's satisfactory performance of the Services. Furthermore, in the event that no funds or insufficient funds are appropriated and budgeted in any fiscal period of the Owner for payments to be made under this Agreement, then the Owner will notify the A/E of such occurrence and this Agreement shall terminate on the earlier of the last day of the fiscal period for which sufficient appropriation was made or whenever the funds appropriated for payment under this Agreement are exhausted.

ARTICLE F: OWNER'S RESPONSIBILITIES

1. Information

The Owner shall provide information regarding requirements for the Projects, including setting forth the Owner's objectives and schedule. This shall include the Owner's giving notice of work to be performed by the Owner or others not included in the Construction Contract for the Project. The Owner shall also establish and update the Maximum Construction Contract Cost. The A/E, however, shall be responsible for ascertaining and knowing Federal, State, and local requirements and limitations placed on the Project.

2. Notice of Defects

If the Owner observes or otherwise becomes aware of any fault or defect in the Work or nonconformance of the Work with the Construction Contract, the Owner shall give prompt written notice of those faults, defects or nonconformance to the A/E.

3. Owner's Authorized Representative

The Owner shall designate a representative authorized to act on its behalf with respect to certain aspects of the Projects, this Agreement and the Work. The authorized representative shall examine all documents submitted by the A/E and shall promptly render decisions about those documents so as to avoid unreasonable delays of the progress of the A/E work.

4. Duties to Furnish

The Owner will be, or may be, obligated to provide the A/E the items listed below in this Article F(4).

A. Survey and Property Restrictions

The Owner may provide the A/E with topographic, property line and utility information as and where required. The Owner may, at its election, require the A/E to furnish any of these items as an Additional Service.

B. Existing Conditions

The Owner may provide the A/E with any available "as built" or Record Drawings of the Improvements, architect surveys, test reports, and any other written information that it may have in its possession and that it might reasonably assume affects the A/E's performance of its Services or the Contractor's performance of the Work.

C. Waivers

The Owner may provide the A/E with any information it may have obtained regarding waivers of local codes, ordinances, regulations or standards affecting the design of the Project.

D. Minimum Wage Rates

The Owner shall provide the A/E with the schedule of minimum wage rates approved by the U.S. Secretary of Labor (if necessary for completion of Bidding and Contract documents).

E. Tests

When expressly agreed to in writing by both the Owner and the A/E, the Owner shall provide the A/E with all necessary structural, mechanical, chemical or other

laboratory tests, inspections and reports required to be performed by an independent testing agency for design of the Project.

F. Bidding and Contract Document Terms

The Owner or its legal counsel may provide the A/E with required text to be incorporated into the Bidding and Contract Documents.

ARTICLE G: CONTRACT ADMINISTRATION

1. Prohibition against Assignment

The A/E shall not assign this Agreement, in whole or in part, or its interest therein, nor subcontract its obligations to perform any of the Services required to be performed hereunder, without the prior written notice to and consent of the Owner. Such consent shall not be unreasonably withheld when such assignment is for financing the A/E performance.

2. Ownership of Documents

All Deliverables and other materials prepared pursuant to this Agreement and the Task Orders issued hereunder are and shall be the property of the Owner from the time of their conception and shall be delivered to the Owner within fifteen (15) business days following the termination or completion of the A/E Services performed under a Task Order or upon Owner's request. The A/E shall have no claim for further employment or additional compensation as a result of the exercise by the Owner of its full rights of ownership of such documents. It is understood, however, that the A/E does not represent such documents to be suitable for re-use on any other project or for any other purpose. If the Owner re-uses the Deliverables or any other materials prepared pursuant to this Agreement without the A/E's written verification, such re-use will be at the sole risk of the Owner and without liability to the A/E.

3. Confidentiality

The A/E agrees that all Deliverables and other documents and information prepared, assembled, received, reviewed or encountered by it pursuant to this Agreement ("Confidential Information") are to remain confidential and to be used solely for the purposes of meeting the objectives of this Agreement. The A/E agrees that such Confidential Information shall not be made available to any individual or organization other than the CHA, HUD, or courts of competent jurisdiction or administrative agencies, pursuant to a subpoena, without the prior written approval of the Owner. In the event the A/E is presented with a subpoena regarding any such Confidential Information which may be in the A/E's possession by reason of this Agreement, the A/E must immediately give written notice to the CHA's Chief Executive Officer and General Counsel with the understanding that the CHA will have the opportunity to contest such process by any means available to it before the Confidential Information is submitted to a court or other third party. The A/E, however, is not obligated to withhold the delivery of such

Confidential Information beyond the time ordered by the court or administrative agency, unless the subpoena or request is quashed or the time to produce is otherwise extended.

4. Substitutions

A. Identification of Key Employees and Sub-Consultants

The A/E's principals, key professional level employees, and sub-consultants are identified in Exhibit D, which is attached hereto and incorporated by reference herein. The A/E shall not substitute, replace, or change the level of participation in the performance of the Services of principals, professional level employees, or sub-consultants without the prior written approval of the Owner, which approval shall not be unreasonably withheld, conditioned, or delayed.

B. Notice to the CHA; Approval

The A/E personnel and sub-consultants identified in Exhibit D are considered to be essential to the delivery of the Services required under this Agreement. Prior to diverting, substituting, or changing the level of participation in the performance of the Services of any of the personnel or sub-consultants identified in Exhibit D, the A/E shall give the Owner advance written notice of its intent to divert, substitute, or change the level of participation in the Services of such personnel or sub-consultants, together with a justification of such decision, and proposed substitutions in sufficient detail to permit evaluation of the impact on the Services provided pursuant to this Agreement. Upon receipt of the Owner's written approval, the A/E may substitute such essential personnel.

5. Suspension

CHA may give written notice to the A/E to suspend work on a Project, or any part thereof, at any time during the performance of a Task Order issued under this Agreement. The CHA shall not be obligated to consider a claim for additional compensation if the A/E is given written notice to resume work within one hundred twenty (120) calendar days after receipt of the written notice of suspension. If the CHA does not deliver such written notice to resume work to the A/E within such time period, the A/E shall be entitled to an equitable adjustment in compensation but shall not be entitled to terminate this Agreement.

6. Subcontracts

The A/E will cause all applicable provisions of this Agreement to be inserted in all its subcontracts.

7. Disputes

In the event of a dispute arising under this Agreement, the A/E shall notify the Owner's Contracting Officer in writing within thirty (30) calendar days of the first knowledge or observation of the injury, damage, or incident that is the basis of such dispute and shall

submit a detailed claim setting forth the nature of the dispute and the relief sought. The Owner shall respond to the claim in writing within thirty (30) calendar days of receipt thereof. The A/E shall continue to perform all Services under this Agreement during resolution of any such dispute in accordance with the terms of this Agreement and the instructions of the Owner; provided, however, that such compliance shall not constitute a waiver of the A/E's rights to make such a claim. Any dispute not resolved by this procedure may be determined by a court of competent jurisdiction or by agreement of the Owner and A/E, through other dispute resolution methods.

8. Termination for Default; Cure; Termination for Convenience; Right of Offset

A. Termination for Default

The Owner may, upon written notice to the A/E given in accordance with the notice provisions in Article I (9) below, without prejudice to any other rights or remedies of the Owner, terminate this Agreement in its entirety, or the A/E's right to proceed with a specific Task Order, for default, if one or more of the following defaulting events (each, a "Defaulting Event") occurs:

- i. The A/E makes any material misrepresentation, whether negligent or willful and whether in the inducement or in the performance, to the Owner;
- ii. The A/E files for, or is forced by creditors into a suit for, bankruptcy or any other action in insolvency;
- iii. The A/E makes a general assignment for the benefit of its creditors;
- iv. A trustee is appointed for the A/E on account of its insolvency;
- v. The A/E fails to maintain and/or renew insurance coverages and bonds required under this Agreement;
- vi. The A/E fails to pay sub-consultants within ten (10) days after receipt of payment from the Owner for such expenses or invoices;
- vii. The A/E suspends diligent prosecution of a Project or abandons a Project for ten (10) or more days;
- viii. The A/E does not prevent the imposition of liens on a Project, within the reasonable control of the A/E;
- ix. The A/E makes any material misrepresentation of the representations and certifications it is required to make pursuant to this Agreement, whether intentional or not;

- x. A loss time injury or death occurs in which an OSHA penalty is assessed under any circumstances caused by the A/E or for which the A/E is responsible;
- xi. The A/E violates or is in material breach of any provision of this Agreement, including, but not limited to, the provisions concerning compliance with federal, state and local laws and regulations, including, but not limited to, HUD regulations and all insurance and nondiscrimination requirements;
- xii. Kickbacks of employee wages, subcontractor, consultant or vendor payments or any other payment to the A/E, or its respective principals, superintendents, or employees occur; or
- xiii. The A/E or its sub-consultants fail to pay prevailing wages or Davis-Bacon wages (if applicable), inaccurately certify payrolls, or miscategorize an employee's job classification.
- xiv. The A/E acts or fails or to act in a manner which is expressly stated in this Agreement as constituting a Defaulting Event and/or as giving the Owner the right to terminate this Agreement.

B. Cure; Owner's Election; Remedies Cumulative

- i. In the event the Owner delivers such notice of termination for default to the A/E, the A/E will have thirty (30) days to cure such default to the satisfaction of the Contracting Officer of the Owner. The determination of the Owner's Contracting Officer shall be final with respect to whether such cure was satisfactory and complete.
- ii. In the event the Owner's determination regarding a termination for default is reversed or found to be a wrongful termination on appeal, in alternative dispute resolution, or in a court of law, the termination for default shall become a termination for convenience and the A/E's exclusive remedy shall be those provided with respect to a termination for convenience.
- iii. In the event the Owner terminates this Agreement or a Task Order issued hereunder for default, the Owner may take over the performance of the Project and execute it to completion, by contract or otherwise, and the A/E and its insurers shall be liable for any excess cost occasioned to the Owner. In any such case, the Owner may take possession of and use any of the A/E materials, equipment and/or drawings, Plans and Specifications, or other work product as may be necessary to properly complete the Project, if it is determined that delay in completion of the performance thereof, whether or not for reasons beyond the control of the A/E or any of its sub-consultants, is detrimental to the interests of the Owner.

- iv. If a Defaulting Event occurs, as enumerated in Article G (8) (A) above, if the Owner considers it to be in its best interest, it may elect not to declare a default of this Agreement or terminate this Agreement or a Task Order issued hereunder. The parties acknowledge that this provision is solely for the benefit of the Owner and that if the Owner permits the A/E to continue to provide the Services, despite one or more Defaulting Event, the A/E shall in no way be relieved of any of its responsibilities, duties or obligations under this Agreement nor shall the Owner waive or relinquish any of its rights hereunder.
- v. The Owner's right to terminate this Agreement is not intended to be exclusive of any other remedies provided, but each and every remedy shall be cumulative and shall be in addition to any other remedies, existing now or hereafter, at law, in equity or by statute. The Owner shall not be prohibited from pursuing such right to terminate this Agreement, regardless of the Owner's delay in, or failure to exercise any right that accrues upon a Defaulting Event, or acquiescence therein, and every such right may be exercised from time to time and as often as may be deemed expedient, at the sole discretion of the Owner.

C. Termination for Convenience

The Owner may, upon written notice to the A/E in accordance with the notice provisions in Article I (9) below, without prejudice to any other rights or remedies of the Owner, terminate the A/E's right to proceed with a Project for convenience if:

- i. A Defaulting Event occurs;
- ii. The A/E is terminated for default on any other contract with the Owner;
- iii. The A/E is debarred from any other Federal, State of Illinois, or City of Chicago procurement activity or contract during the term of this Agreement;
- iv. The A/E or any of its principals owning more than five percent (5%) of the A/E is charged with criminal conduct for which there may be a felony conviction;
- v. The A/E fails to obtain in a timely manner, maintain, continuously renew, or lacks any license, permit or registration required from the City of Chicago, County of Cook, or the State of Illinois;
- vi. A strike, which was not provoked by the A/E or its sub-consultants, gang warfare, civil insurrection or riot causes the Project to be suspended in whole or significant part for ten (10) days or more;

- vii. The A/E fails to maintain a “drug free” workplace; or
- viii. The A/E fails to provide accurate and timely reports, to update the Owner on the progress of a Project, or to communicate with the Owner as requested;
- ix. Any other reason by which Owner no longer deems it in the Owner’s best interest to continue with this Agreement.

D. Choice of Termination Provisions

It is the Owner’s exclusive right to determine use of the termination for default or termination for convenience provision of this Agreement.

E. Right to Offset

In the event the A/E is in default under this Agreement, the Owner shall have the right to offset any amounts due to the Owner as the result of any such default against any amounts owed by the Owner to the A/E for Services rendered pursuant to this Agreement.

9. **A/E’s Termination Claims**

A. Right of Termination

The Owner may terminate this Agreement, in whole or in part, pursuant to the provisions in Article G (8) above. Any such termination shall be effected by delivery to the A/E of a Notice of Termination specifying the extent to which performance of Services under this Agreement is terminated and the effective date of such termination.

B. Termination Claim

Upon termination of this Agreement or a Task Order pursuant to Article G(8)(C), the A/E’s claim shall be limited to the sum of the following:

- i. Payment for Services, not theretofore paid, completed in compliance with this Agreement through the date of the Notice of Termination; and
- ii. The cost of settling or otherwise liquidating any claims arising out of the termination of any subcontracts or orders for materials and the costs of performing those actions required under Subparagraph G(9)(C)(iii) below.

In arriving at the amount due the A/E under this Article G(9)(B), there shall be deducted (i) all unliquidated advances or other payments on account previously made to the A/E, applicable to the terminated portion of this Agreement, if any; (ii)

any claim which the Owner, directly or indirectly, may have against the A/E in connection with this Agreement, including, without limitation, claims arising as a result of any violation of any provision of this Agreement; and (iii) any other matter to be reconciled between the parties.

C. The A/E's Responsibility upon Termination

After receipt of a Notice of Termination, and except as otherwise directed by the Owner in the Notice of Termination, the A/E shall:

- i. Stop work under this Agreement immediately upon receipt of the Notice of Termination;
- ii. Place no further orders for materials or supplies or subcontract for materials, services, or facilities;
- iii. Terminate all orders and subcontracts to the extent that they relate to the performance of the Services terminated by the Notice of Termination immediately upon receipt of the Notice of Termination;
- iv. Within five (5) days after A/E's receipt of the Notice of Termination, assign to the Owner, in the manner, at the times, and to the extent directed by the Owner, all of the rights, title, and interest of the A/E under the orders and subcontracts so terminated, in which case the Owner shall have the right, in its discretion, to settle or pay any or all claims arising out of the termination of such orders and/or subcontracts.
- v. Subject to Subparagraph G(9)(C)(iv) above, settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts with the approval of the Owner within ten (10) days after receipt of the Notice of Termination;
- vi. Within five (5) days after receipt of the Notice of Termination, transfer title and deliver to the Owner, as directed by the Owner: (i) the completed or partially completed Plans and Specifications, as applicable; (ii) all information, reports, papers and other materials accumulated or generated in performing this Agreement or the terminated Task Order, whether completed or in process; and (iii) all other Deliverables in the A/E's possession.
- vii. Complete performance of such portion of the Services as shall not have been terminated by the Notice of Termination within the time period specified on the Schedule included in the Task Order or in such Notice of Termination.

- viii. Take such action as may be necessary, or as the Owner may direct, for the protection and preservation of any of the Deliverables in the possession of the A/E or any of the A/E's sub-consultants.

10. Insurance

A. Coverage Generally

The A/E shall not commence any work related to the Project until it procures and provides evidence of all insurance required to be obtained under this Agreement. Further, the A/E will not permit any subcontractor to commence work on the Project until such subcontractor has complied with the insurance requirements set forth herein.

B. Period of Coverage

The A/E agrees to procure and maintain at all times during the term of the Agreement the types of insurance specified below in order to protect the Owner from the acts, omissions and negligence of the A/E, its officers, officials, subcontractors, joint venture parties, partners, agents, licensees, invitees or employees. The A/E shall maintain coverage for the duration of the Agreement. Any extended reporting period premium (tail coverage) shall be paid by the A/E.

C. Insurance Carriers

The insurance carriers used by the A/E must be authorized to conduct business in the State of Illinois and shall have an A.M. BEST Rating of not less than an "A-VII".

D. Required Insurance Coverage

The A/E shall provide insurance to cover all operations under the Agreement, whether performed by the A/E or by its sub-consultants, joint venture partners, agents, officers, or employees. Coverage shall include, but shall not be limited to, the following:

i. Workers Compensation and Occupational Disease Insurance

Workers Compensation and Occupational Disease Insurance in accordance with the laws of the State of Illinois (Statutory) Coverage A, and Employer's Liability, Coverage B, in an amount of not less than \$500,000/\$500,000/\$500,000.

ii. Commercial General Liability Insurance

Commercial General Liability Insurance will be written in an amount of not less than \$1,000,000 per occurrence with an Aggregate of not less than \$2,000,000. In addition to the stipulations outlined above, the insurance policy is to include coverage for Contractual Liability, Products-Completed Operations and Personal and Advertising Injury, and shall also cover injury to A/E's agents, subcontractors, invitees and guests and their personal property, as well as injury to the Developer's and/or Owner's and General Contractor's respective officers, employees, agents, sub-consultants, invitees and guests, and their personal property. The Owner is to be endorsed as an additional insured on the A/E's policy, and such insurance will be endorsed as primary and non-contributory with any other insurance available to the Owner.

iii. Automobile Liability Insurance

When any motor vehicles (owned, non-owned and hired) are used in connection with the Services to be performed, the A/E shall provide Comprehensive Automobile Liability Insurance with limits of not less than One Million Dollars (\$1,000,000) per occurrence CSL, for bodily injury and property damage. The Owner is to be endorsed as an additional insured on the A/E's policy, and such insurance will be endorsed as primary and non-contributory with any other insurance available to the Owner.

iv. Professional Liability (Errors and Omissions)

Professional Liability insurance covering negligent acts, errors or omissions shall be maintained with limits of not less than Five Million Dollars (\$5,000,000) per occurrence. Coverage extensions shall include Blanket Contractual Liability. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede, the start of Services under this Agreement. A claims made policy which is not renewed or replaced must have an extended reporting period (i.e. tail coverage) of two (2) years.

v. Excess Liability

When applicable coverage limits are not met by the primary insurance layers, Umbrella Liability coverage is to follow the form of the primary insurance requirements outlined above and shall be provided in an amount not less than the amount deficient in the primary layer.

vi. Certificates of Insurance

Prior to the commencement of any work on a Project, the A/E, and any and all approved sub-consultants of the A/E, shall furnish the Owner's Department of Procurement and Contracts, 60 East Van Buren St., 13th Fl., Chicago, Illinois 60605, original Certificates of Insurance or other

satisfactory evidence (subject to approval of the Owner) that it, and its approved sub-consultants, have the required insurance coverage set forth above, and that said insurance coverage is effective as of, or before, the effective date of the Agreement. An ACORD form, properly completed, is adequate proof of insurance. The Owner, its respective Commissioners, board members, officers, directors, agents, employees, vendors, invitees, and visitors shall be named as additional insureds on all of the A/E required insurance policies except professional liability and shall be properly and accurately shown on the A/E ACORD form. Such insurance shall be endorsed as primary and non-contributory with any other insurance available to the Owner, its respective Commissioners, board members, officers, directors, agents, employees, vendors, invitees and visitors. The Owner shall be named as loss payee on all property insurance.

MUST BE INCLUDED ON ALL CERTIFICATES:

- a. Certificate Holder: Chicago Housing Authority, 60 E. Van Buren, Chicago, IL 60605
- b. Solicitation number or Contract number and/or the title of the Project or Service

THE REQUIRED DOCUMENTATION MUST BE RECEIVED PRIOR TO THE A/E COMMENCING WORK.

vi. Renewals

The Owner's Department of Risk Management must receive renewal certificates of insurance, or such similar evidence of coverage, prior to the expiration or renewal date of existing insurance coverage during the term of this Agreement or extensions thereof. The receipt of any certificate does not constitute agreement by the Owner that the insurance requirements in this Agreement have been fully satisfied or that the insurance policies indicated on the certificate are in compliance with the requirements of this Agreement. The insurance policies shall provide for thirty (30) days' written notice to be given to the Owner in the event that coverage will not be renewed or in the event coverage is substantially changed or canceled.

vii. Insurance on Claims Made Basis and Tail Coverage

If any of the required insurance is underwritten on a claims made basis, the retroactive date shall be prior to or coincident with the date of this Agreement, and the certificate of insurance shall state that the coverage is "claims made" and also the retroactive date. The A/E shall provide to the Owner, annually, a certified copy of the insurance policies obtained pursuant hereto. The A/E shall maintain continuous coverage for the duration of this Agreement, plus a period of two (2) years following the

completion date of the Project (i.e. tail coverage). Any extended reporting period premiums shall be paid directly by the A/E. Further, the A/E shall provide the Owner with written notice not less than thirty (30) days prior to the occurrence of any of the following conditions:

- a. Aggregate erosion of coverage in advance of the retroactive date;
- b. Cancellation of the policy; and/or
- c. Non-renewal of the policy.

viii. Owner's Insurance

The A/E expressly understands and agrees that any insurance or self-insurance programs maintained by the Owner shall apply in excess of and will not contribute with insurance provided by the A/E under this Agreement.

ix. Subcontractor's or Consultant's Insurance

The A/E shall require all approved sub-consultants to carry the insurance required herein, or the A/E may provide the coverage for any or all of its approved sub-consultants. Evidence of such coverage must be submitted to the Owner as required above.

x. Limitation of Liability

It is expressly agreed that the insurance policies required pursuant to this Agreement do not act as limitations of liability of the A/E, its joint venture partners, parent companies, subsidiaries, affiliates, or sub-consultants.

xi. Noncompliance

At Owner's option, non-compliance with the insurance requirements of this Article G (10) will result in (1) all payments due the A/E being withheld until the A/E has complied with the Agreement; or (2) this Agreement and/or Task Orders issued hereunder, may be terminated for default.

xii. Other Insurance Requirements

Owner reserves the right to modify these requirements or increase limits based on the Scope of Work for Task Orders issued hereunder. Modifications will be determined upon review by Owner's Risk Management Department.

When any pollution or environmental exposure is performed in connection with the Agreement or Task Orders issued hereunder, the applicable pollution liability insurance will be required. Pollution liability insurance

covering any bodily injury or property damage liability, arising out of pollutants including hazardous materials such as asbestos, lead, contaminated soil, etc. including while in transit to a permanent disposal facility which may arise from activities under or incidental to the Agreement will be required.

11. Indemnification; Owner's Defense; Hold Harmless

A. Indemnification

To the fullest extent permitted by law, the A/E agrees to indemnify the Owner, its respective Commissioners, board members, officers, directors, agents, employees, vendors, invitees and visitors for any and all physical or non-physical injury to any person, including loss of human life and/or damage to property, arising from the Services performed or to be performed under this Agreement, including Additional Services, to the extent caused by A/E's negligence, willful misconduct, errors or omissions. The A/E's indemnification obligations arising hereunder include, without limitation, any and all liabilities, losses, penalties, damages, settlements, environmental liability, costs, charges, professional fees (including reasonable costs of investigation, reasonable attorneys' fees and other legal expenses) or other expenses or liabilities of every kind, nature and character arising out of or relating to any and all claims, liens, demands, obligations, actions, suits, judgments or settlements, proceedings or causes of action (collectively, "Claims"), including the enforcement of this indemnification provision. The indemnities contained herein shall survive the termination of the Agreement.

B. Hold Harmless

The A/E shall be responsible for any and all physical or non-physical injury to any person, including loss of human life and/or damage to property arising from the Services, including Additional Services, performed or to be performed under this Agreement, to the extent caused by A/E's negligence, willful misconduct, errors, or omissions, and shall hold the Owner, its respective Commissioners, board members, officers, directors, agents, employees, vendors, invitees and visitors harmless from any and all loss or damage from such injury, damage or death.

C. Owner's Defense

The A/E further agrees to investigate, handle, respond to, provide defense for and defend all suits for any and all Claims included in this indemnification provision at its sole expense, and agrees to bear all other costs and expenses related thereto, even if the Claims are considered groundless, false or fraudulent. The Owner shall have the right, at its option and at the A/E's expense, to participate in the defense of any suit, without relieving the A/E of any of its obligations under this indemnity provision. The A/E expressly understands and agrees that the requirements set forth in this indemnity to protect, defend, indemnify, keep, save and hold the Owner free

and harmless are separate from and not limited by the A/E's responsibility to obtain, procure and maintain insurance pursuant to this Agreement.

12. Retention of Rights

Neither the Owner's review, approval or acceptance of the Services required under this Agreement, nor the Owner's payment for such Services, shall be construed to operate as a waiver of any rights under this Agreement or of any cause of action arising out of the A/E's performance or failure of performance under this Agreement, and the A/E shall be and remain liable to the Owner in accordance with the applicable laws for all damages to the Owner caused by the A/E's negligent performance of any of the Services furnished under this Agreement. This Agreement does not restrict or limit any rights or remedies otherwise afforded the Owner or the A/E by law.

ARTICLE H: ADDITIONAL REQUIREMENTS

1. Contract Adjustments

Notwithstanding any other term or condition of this Agreement, any settlement or equitable adjustment due to termination, suspension or delays by the Owner shall be negotiated based on the cost principles stated in the federal regulations at 48 CFR Subpart 31.2 and conform to the contract pricing provisions of 2 CFR 200.323.

2. Cost Analysis for Additional Services

The Owner shall perform a cost or price analysis, as required by federal regulations [2 CFR 200.323], prior to the issuance of a modification of a Task Order for Additional Services. Such Additional Services shall be within the general scope of services covered by the Task Orders issued hereunder. The A/E shall provide supporting cost information in sufficient detail to permit the Owner to perform the required cost or price analysis.

3. Restrictive Plans and Specifications

In accordance with 2 CFR 200.319(c)(1) and contract agreements between the Owner and HUD, the A/E shall not require the use of materials, products, or services that unduly restrict competition.

4. Design Certification

Where the Owner is required by federal regulations to provide HUD an A/E certification regarding the design of the Project, the A/E shall provide such a certification to the Owner.

5. Retention and Inspection of Records

Pursuant to federal regulations [2 CFR 200.333 and 2 CFR 200.336], the A/E shall grant the Owner, HUD, the Comptroller General of the United States, or any of their duly authorized representatives, access to any books, documents, papers, and records of the A/E

which are directly pertinent to this Agreement for the purpose of making an audit, examination, excerpts, and transcriptions. All required records shall be retained for three (3) years after the Owner or the A/E and other sub-consultants, as applicable, make final payments and all other pending matters are closed.

6. Copyrights and Rights in Data

Currently HUD regulations pertaining to copyrights or rights in data do not apply to contracts with architects and engineers. However, Article 45 of the General Conditions to the Contract for Construction (HUD Form 5370-A), typically used by the Owner for all construction projects over \$100,000.00, requires that contractors pay all royalties and license fees. Accordingly, all Plans and Specifications prepared by the A/E pursuant to this Agreement must identify any applicable patents to enable the Contractor to fulfill the requirements of the Construction Contract and the General Conditions thereof.

7. Conflicts of Interest

Pursuant to federal regulations [2 CFR 200.318, 2 CFR 200.319] and agreements between the Owner and HUD, no employee, officer, or agent of the Owner (HUD grantee) shall participate in the selection of a contractor, or in the award or administration of a contract supported by Federal funds, if a conflict of interest, real or apparent, would be involved.

Such a conflict would arise when:

- A. The employee, officer or agent;
- B. Any member of his or her immediate family;
- C. His or her partner; and/or
- D. An organization that employs, or is about to employ, any of the above, has a financial or other interest in the firm selected for award.

Owner's officers, employees or agents may not solicit or accept gratuities, favors or anything of monetary value from the A/E or the A/E's sub-consultants, or parties to any other sub-agreements with such parties. The Owner may set minimum standards of conduct where the financial interest is not substantial or the gift is an unsolicited item of nominal intrinsic value. To the extent permitted by State or local law or regulations, such standards of conduct will provide for penalties, sanctions, or other disciplinary actions for violations of such standards by the Owner's officers, employees, or agents or by the A/E or its agents. The Owner may, within regulation, provide additional prohibitions relative to real, apparent, or potential conflicts of interest. Neither the Owner nor the A/E, nor any of their sub-consultants shall enter into any contract, subcontract, or agreement, in connection with a Project in which any member, officer, or employee of the Owner, the A/E, or any of their sub-consultants, or any member of the governing body of the locality in which the Owner was activated, or in any other public official of such locality or localities who exercises any responsibilities or functions with respect to the Project during

his/her tenure or for one (1) year thereafter has any interest, direct or indirect. If any such present or former member, officer, or employee of the Owner, the A/E, or any of their sub-consultants, or any such governing body member or such other public official of such locality or localities involuntarily acquires or had acquired prior to the beginning of his/her tenure any such interest, and if such interest is immediately disclosed to the Owner and such disclosure is entered upon the minutes of the Owner, the Owner, with the prior approval of the Government, may waive the prohibition contained in this subsection; provided, however, that any such present member, officer, or employee of the Owner shall not participate in any action by the Owner relating to such contract, subcontract, or arrangement.

No member, officer, or employee of the Owner, no member of the governing body of the locality in which the Project is situated, no member of the governing body of the locality in which the Owner was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the Project, during his/her tenure or for one (1) year thereafter, shall have any interest, direct or indirect, in this Agreement or the proceeds thereof.

8. Interest of Members of Congress

Pursuant to the agreement between the Owner and HUD, no member of, or delegate to, the Congress of the United States of America and no Resident Commissioner shall be permitted to share any part of, or derive any benefit from, this Agreement.

9. Limitation of Payments to Influence Certain Federal Transactions

The Limitation on Use of Appropriated Funds to Influence Certain Federal Contracting and Financial Transactions Act, Section 1352 of Title 31 U.S.C., provides in part that no appropriated funds may be expended by the recipient of a federal contract, grant, loan, or cooperative agreement to pay any person, including the A/E, for influencing or attempting to influence an officer or employee of Congress in connection with any of the following covered federal actions: the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

10. Section 3 and MBE/WBE/DBE Participation and Requirements

A. Section 3 – Compliance

The CHA has determined that the contract awarded under this solicitation is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, (Section 3), and Title 24 of Subchapter B, Part 135 – Economic Opportunities for Low- and Very Low-Income Persons, 24 CFR 135.3. Section 3 Compliance requires that any contract or subcontract entered into for the benefit of public housing residents shall require that, to the greatest extent feasible, economic opportunity in the form of training, employment, contracting,

and other economic opportunities arising from the expenditure of public housing assistance for housing rehabilitation and housing construction be directed to low- and very low-income persons.

i. Section 3 - Clause

- a. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- b. The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- c. The A/E agrees to send to each labor organization or representative of workers with which the A/E has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the A/E's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- d. The A/E agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The A/E will not subcontract with any subcontractor where the A/E has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- e. The A/E will certify that any vacant employment positions, including training positions, that are filled (1) after the A/E is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the A/E's obligations under 24 CFR Part 135.
- f. Non-compliance with HUD's regulations in 24 CFR part 135 may

result in sanctions, termination of this Agreement for default, and debarment or suspension from future HUD assisted contracts.

ii. Section 3 Compliance Goals

- a. A/E's and their subcontractors may demonstrate compliance by committing to employ section 3 residents and by subcontracting with section 3 business concerns in accordance with the requirements of 24 CFR Part 135.

A Section 3 Business concern is a business concern under HUD Regulations:

1. 51 percent or more owned by section 3 residents; or
 2. Whose permanent, full-time employees include persons, at least 30 percent of whom are currently section 3 residents, or within three years of the date of first employment with the business concern were section 3 residents; or
 3. That provides evidence of a commitment to subcontract in excess of 25 percent of the dollar award of all subcontracts to be awarded to business concerns that meet the qualifications set forth in paragraphs (1) or (2) in this definition of "section 3 business concerns."
- b. The A/E and its subcontractors may demonstrate compliance with the requirements for contracting with Section 3 Business Concerns by committing to award to Section 3 Business Concerns at least 10 percent of the total dollar amount of a Task Order awarded to the A/E for building trades work for maintenance, repair modernization or development of public housing, or for building trades work arising in connection with housing rehabilitation, housing construction and other public construction and at least 3 percent of the total dollar amount of all other Section 3 covered contracts.
- c. In evaluating compliance with 24 CFR Part 135, contractors and their subcontractors have the burden of demonstrating to the greatest extent feasible their ability or inability to meet the goals set forth in 24 CFR Part 135 for providing training, employment and contracting opportunities to section 3 residents and section 3 business concerns.
- d. A/E's and their subcontractors are also encouraged to provide other economic opportunities to train and employ section 3 residents including, but not limited to, use of "upward mobility", "bridge" and trainee positions to fill vacancies, and hiring section 3 residents in part-time positions (24 CFR 135.40).

iii. Documenting and Reporting

- a. A/E agrees to comply with the above Section 3 requirements in accordance with the A/E's Compliance Affidavit, which shall be prepared by the A/E and agreed to by CHA. CHA shall not be required to agree to A/E's Utilization Plans submitted with each Task Order until the A/E meets its burden to establish that it will comply with 24 CFR Part 135 and otherwise comply with CHA's Section 3 Policy (http://www.thecha.org/pages/section_3/65.php or see the copy included in the solicitation) as may be required. A/E's Compliance Affidavit is attached hereto as Exhibit A and is incorporated by reference herein.
 - b. The A/E and its subcontractors shall provide all required compliance data with respect to A/E's Section 3 requirements to the CHA via CHA's electronic system available at <https://cha.diversitycompliance.com/>. The A/E and its subcontractors shall be responsible for responding to any requests for data or information by the noted response due dates, and shall check the electronic system on a regular basis to manage contact information and contract records. The A/E shall also be responsible for ensuring that all subcontractors have completed all requested items with complete and accurate information and that their contact information is current.
- iv. This Section 3 Contract Provision shall flow down to each subcontract at every tier.

B. MBE/WBE/DBE Compliance

A/E agrees to comply with the CHA's Minority and Women Disadvantaged Business Enterprise ("MBE/WBE/DBE") requirements in accordance with the A/E's Compliance Affidavit and otherwise comply with the CHA's MBE/WBE Policy (see http://www.thecha.org/assets/1/22/Amendment_to_Special_Conditions_-_M-WBE.pdf) or the copy included in the RFQ).

Documenting and Reporting. The A/E and its subcontractors shall provide all required compliance data with respect to A/E's MBE/WBE/DBE to the CHA via CHA's electronic system available at <https://cha.diversitycompliance.com/>. The Contractor and its subcontractors shall be responsible for responding to any requests for data or information by the noted response due dates, and shall check the electronic system on a regular basis to manage contact information and contract records. The A/E shall also be responsible for ensuring that all subcontractors have completed all requested items with complete and accurate information and that their contact information is current.

11. Clean Air and Water

Pursuant to federal regulations [2 CFR Part 200 Appendix II] and other federal law, the A/E shall comply with applicable standards, orders, or requirements issued under Section 306 of the Clean Air Act (42 U.S.C. 1857h-4 transferred to 42 U.S.C. 7607, Section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR Part 15), on all Task Orders, subcontracts, and subgrants of amounts in excess of One Hundred Thousand and No/100 Dollars (\$100,000.00).

12. Energy Efficiency

Pursuant to Federal regulations and other federal law, except when working on an Indian Housing Authority Project on an Indian Reservation, the A/E shall comply with the mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub. L. 94-163 codified at 42 U.S.C. 6321 et. seq.).

13. Prevailing Wages

In accordance with Section 12 of the U.S. Housing Act of 1937, as amended (42 U.S.C. 1437j), the A/E shall pay not less than the wages prevailing in the locality, as determined by or adopted (subsequent to a determination under applicable state or local law) by the Secretary of HUD, to all architects, technical engineers, draftsmen, and technicians.

14. CHA's Minimum Wage Requirement

In accordance with CHA's Minimum Wage Requirements, the A/E shall (i) pay its employees no less than Thirteen Dollars per hour (\$13.00/hr.) for work performed pursuant to Task Orders issued under this Agreement, and shall (ii) require any subcontractors of the A/E to pay its employees no less than Thirteen Dollars per hour (\$13.00/hr.) for work performed under the Task Orders issued hereunder. CHA's Minimum Wage Requirements may be found on CHA's website at http://www.thecha.org/assets/1/6/CHA_Minimum_Wage_Requirement.pdf. Notwithstanding the foregoing, Federal wage determinations (either Davis-Bacon or HUD-Determined Wage Rates) shall preempt any conflicting State prevailing wage rate or the Minimum Wage Requirement when the State prevailing wage rate or the Minimum Wage Requirement is higher than the Federally-imposed wage rate (24 CFR 965.101).

15. Non-Applicability of Fair Housing Requirements in Indian Housing Authority Contracts

Certain fair housing requirements in federal regulations [24 CFR 905.115(b)] and Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-2000d-4), which prohibit discrimination on the basis of race, color or national origin in federally assisted programs, and the Fair Housing Act, as amended (42 U.S.C. 3601-3620), which prohibits discrimination based on race, color, religion, sex, national origin, handicap, or familial status in the sale or rental of housing, do not apply to Indian Housing Authorities established by exercise of a Tribe's powers of self-government.

16. Prohibition Against Liens

The A/E is prohibited from placing a lien on the Owner's property, including, but not limited to, the Improvements and the Site. This prohibition shall be placed in all A/E subcontracts.

17. Non-Discrimination

A/E shall comply with all federal, state and local non-discrimination laws, rules, regulations and ordinances including, but not limited to, the Civil Rights Act of 1964, 42 U.S.C. sec. 2000 et seq. (1989), as amended, and all regulations promulgated thereto. A/E shall particularly remain in compliance at all times with: Exec. Order No. 11,246, 30 Fed. Reg. 12,319 (1965), reprinted in 42 U.S.C. 2000 (e) note, as amended by Exec. Order No. 11,375, 32 Fed. Reg. 14,303 (1967) and by Exec. Order No. 12,086, 43 Fed. Reg. 46,501 (1978); Age Discrimination Act, 42 U.S.C. sec. 6101-6106 (1989); Rehabilitation Act of 1973, 29 U.S.C. sec. 793-794 (1988); Fair Housing Amendments Act, 42 U.S.C. Sec. 3601 et seq., (1988); Americans with Disabilities Act of 1990, 42 U.S.C. 12101 and 41 C.F.R. Part 60 et seq., (1990). Illinois Human Rights Act, 775 ILCS 5/1-101 et seq., as amended, and regulations promulgated in accordance therewith, including but not limited to the Equal Employment Opportunity Clause, Ill. Admin. Code Tit. 44 section 750 Appendix A, which is attached hereto as Exhibit C and incorporated by reference herein; Public Works Employment Discrimination Act, 775 ILCS 10/0.01 et seq., as amended. Chicago Human Rights ordinance, s2-160-010 et seq., of the Municipal Code of Chicago, as amended; and the Chicago Fair Housing Regulations, s5-8-010 et seq., of the Municipal Code of Chicago, as amended. In addition, A/E must furnish such reports and information as requested by the Chicago Commission on Human Relations.

18. CHA Inspector General

It is the duty of the A/E and its subcontractors to cooperate with the CHA Inspector General in any investigation or hearing undertaken. All of the A/E's subcontracts must inform subcontractors of this provision and require agreement and compliance with the same.

19. No Damages for Delay

A/E agrees that it shall make no claims against the CHA for damages, charges, interest, additional costs or fees incurred by reason of suspension of work or delays caused by the CHA with respect to any Task Order or Services performed under this Agreement. A/E's sole and exclusive remedy for suspension of work or delays caused by the CHA is an extension of time equal to the duration of the suspension or delay to allow A/E to perform.

20. Business Documents and Contractor's Affidavit

A/E's Contractor's Affidavit, Contractor's Certifications and Representations of Offerors – Non-Construction Contracts (HUD Form 5369-C) and Equal Opportunity Certificate are

attached hereto as Exhibit F and incorporated by reference as if fully set forth herein. The Contractor shall at all times comply with, and be in compliance with the Contractor's Affidavit, Contractor's Certifications and Representations of Offerors – Non-Construction Contracts (HUD Form 5369-C) and Equal Opportunity Certificate.

ARTICLE I: GENERAL CONDITIONS

1. Drug-Free Workplace

The A/E shall establish procedures and policies to promote a "Drug-Free Workplace." The A/E shall notify all employees of its policy for maintaining a "Drug-Free Workplace," and the penalties that may be imposed for drug abuse violations occurring in the workplace. The A/E shall notify the Owner if any of its employees are convicted of a criminal drug offense in the workplace no later than ten (10) days after such conviction.

2. Independent Contractor

The A/E shall perform the Services under this Agreement as an independent contractor to the Owner and not as a representative, employee, agent, or partner of the Owner.

3. Entire Agreement

This Agreement, comprised of this Agreement and the Exhibits attached hereto and incorporated herein, shall constitute the entire agreement between the parties hereto, and all prior negotiations, representations and agreements between the parties and understandings of every name, nature and description have been merged into and superseded by this Agreement with respect to the subject matter hereof, and no other warranties, inducements, considerations, promises, or interpretations shall be implied or impressed upon this Agreement that are not expressly addressed herein. All Task Orders issued hereunder are subject to the terms and conditions of this Agreement.

4. Counterparts

This Agreement may be executed in several identical counterparts, each of which shall be deemed an original and constitute one Agreement binding on the parties hereto.

5. Amendments and Task Order Modifications

No changes, amendments, modifications, or discharge of this Agreement or any part thereof, or of any Task Order issued hereunder, shall be valid unless in writing and signed by the authorized agent of the A/E and by the CEO of the Owner or his/her respective designees. The CHA shall incur no liability for Additional Services without a written modification of a Task Order pursuant to this Section.

Whenever in this Agreement the A/E is required to obtain prior written approval, the effect of any approval which may be granted pursuant to the A/E's request shall be prospective only from the later of the date approval was requested or the date on which the action for

which the approval was sought is to begin. In no event may approval apply retroactively to a date before the approval was granted.

6. Governing Law

This Agreement shall be governed as to performance and interpretation in accordance with federal laws and the laws of the State of Illinois. All disputes which arise in connection with, or are related to, this Agreement or any claimed breach hereof, shall be resolved, if not sooner settled, by litigation only in the Circuit Court of Cook, County, Illinois, or the Federal Court in the Northern District of Illinois, and not elsewhere, subject only to the authority of the Court in question to order changes in venue. The A/E agrees that service of process on the A/E may be made, at the option of the Owner, either by registered or certified mail addressed to the applicable office as provided for in this Agreement, by registered or certified mail addressed to the office actually maintained by the A/E or by personal delivery on any officer, director, or managing or general agent of the A/E.

7. Severability

If any provisions of this Agreement, or part thereof, shall be held or deemed to be, or shall in fact be, inoperative or unenforceable as applied in any particular case in any jurisdiction or in all cases because it conflicts with any other provision or provisions hereof, or is in violation of any constitution, statute, ordinance, rule of law or public policy, or for any other reason, such circumstances shall not have the effect of rendering the provision in question inoperative or unenforceable in any other case or circumstances, or of rendering any other provision or provisions herein contained invalid, inoperative, or unenforceable to any extent whatever. The invalidity of any one or more phrases, sentences, clauses or sections contained in this Agreement shall not affect the remaining portions of this Agreement or any part thereof.

8. Interpretation

Any heading of this Agreement is for convenience of reference only and does not define or limit the provisions thereof. Words of any gender shall be deemed and construed to include correlative words of the other genders. Words importing the singular number shall include the plural number and vice versa, unless the context shall otherwise indicate. All references to any exhibit or document shall be deemed to include all supplements and/or amendments to any such exhibits or documents entered into in accordance with the terms and conditions hereof and thereof. All references to any person or entity shall be deemed to include any person or entity succeeding to the rights, duties, and obligations of such persons or entities in accordance with the terms and conditions of this Agreement.

9. Communication and Notices

A. Communication between the Parties

Except where formal notice is required to be delivered under the terms of this Agreement, all routine communication under the terms of this Agreement,

including the transmission of all Deliverables required hereunder, shall be made through the Owner's Capital Construction Division. No verbal communication between the parties shall change any of the terms and conditions of this Agreement. Nothing stated herein shall be construed as a waiver or modification of the requirements for notice or service of process of litigation, as set forth in the Illinois Code of Civil Procedure, the Federal Rules of Civil Procedure, the local rules of the Circuit Court of Cook County, and the local rules governing the U.S. District Court for the Northern District of Illinois.

B. Notices

Any and all notices, demands, requests, permissions, consents, approvals, and other communications that are required to be given or are given hereunder ("Notices") shall be in writing and shall be sent by (i) courier or messenger, (ii) U.S. certified or registered mail, postage prepaid, return receipt requested, or (iii) by a nationally recognized overnight mail carrier for next business day delivery. Notice will be deemed effective (i) when received, if personally delivered by messenger or courier, (ii) three (3) business days after the date deposited in any post office regularly maintained by the United States Postal Service if sent by certified or registered mail, or (iii) one (1) business day after the date deposited with a nationally recognized overnight mail carrier.

Notices sent to the A/E shall be addressed to:

David A. Haymes, Vice President
Pappageorge Haymes Partners
640 N. LaSalle Street
Chicago, Illinois 60654
Phone: (312) 337-3344
Email: dhaymes@pappageorgehaymes.com

Notices sent to the Owner shall be addressed to:

Chicago Housing Authority
60 East Van Buren St., 13th Floor
Chicago, Illinois 60605
Attention: Chief Procurement Officer

With a copy to:
Office of the General Counsel
Chicago Housing Authority
60 East Van Buren St., 12th Floor
Chicago, Illinois 60605
Attention: Chief Legal Officer

10. Authority

A. Owner's Authority


The Owner has executed this Agreement, as amended with full authority pursuant to the United States Housing Act of 1937, 42 U.S.C. 1437 et seq., regulations promulgated by HUD, and the Illinois Housing Authorities Act, 310 ILCS 10/1 et seq., as amended, and other applicable laws, regulations and ordinances, and the signature of each person signing on behalf of the Owner has been affixed with complete and full authority to commit the Owner to all terms and conditions of this Agreement.

B. A/E Authority


The A/E has been duly authorized to execute this Agreement, by proper agreement of all required partners, if a partnership, or by a resolution of its Board of Directors, if a corporation, and the signature of each person signing on behalf of the A/E has been affixed with complete and full authority to commit the A/E to all terms and conditions of this Agreement.

IN WITNESS WHEREOF, the Owner and the A/E have executed this Agreement as of the date first written above.

CHICAGO HOUSING AUTHORITY

By: 
Dionna Brookens
Chief Procurement Officer

Approved as to Form and Legality
Chicago Housing Authority
Office of the General Counsel

By: 
James L. Bebley
Chief Legal Officer

PAPPAGEORGE HAYMES PARTNERS


By: 
Print Name: David A. Haymes, AIA
Title: Vice President

EXHIBIT A

COMPLIANCE AFFIDAVIT

CHICAGO HOUSING AUTHORITY (CHA)
Department of Procurement & Contracts Contract Compliance Division

RFP/RFQ/Bidder/Proposers' M/W/DBE & Section 3
Contract Compliance Affidavit

RFP/IFB/CONTRACT/PURCHASE ORDER NO: RFQ Event #1647 DATE FORM COMPLETED: 10/13/16
PROJECT TITLE: Request for Qualifications Professional Design Firms Event #1647

DEVELOPER NAME:

PRIME CONTRACTOR NAME(S): Pappageorge Haymes Partners

ADDRESS: 640 N. LaSalle Suite 400 TELEPHONE: 312-650-7337

CONTACT NAME/TITLE: David A. Haymes, AIA Vice President

E-MAIL ADDRESS: dhaymes@pappageorgehaymes.com

Ethnicity: Caucasian Gender: Male

FEDERAL TAX IDENTIFICATION OR SOCIAL SECURITY NO. : [REDACTED]

CONTRACT AMOUNT: \$ TBD

As a respondent to CHA IFB/RFP/CONTRACT or PO NUMBER 1647 do hereby affirm that I understand and fully support the policy and regulations set forth in the Amendment to Special Conditions M/W/DBE Utilization Plan, the Section 3 Policy (hereafter referred to as the Policies), and Davis-Bacon and Related Acts (when applicable).

Given that contracts awarded for work under this IFB/RFP/CONTRACT are subject to the future issuance of contracts whose amounts will constitute the actual dollar amount, I understand that my M/W/DBE Utilization (Schedules A and C) and Section 3 Utilization (Schedule B) Plans will be required to be submitted on each award to reflect actual contract amounts to the listed contractors.

Based upon the total amount of the award as constituted by all issued awards, I agree to fully comply with the minimum participation goals as outlined in the Policies and the following reporting requirements:

- Submit within five (5) business days of issuance of an award, copies of all resultant subcontractor agreements with approved certified M/W/DBE firms, and
- On a monthly basis an updated payment report must be entered for every subcontractor (M/W/DBE and non-minority subcontractors) into B2Gnow (CHA's electronic payment monitoring software for contractors and subcontractors)
- Submit weekly/bi-weekly payrolls for service contracts with the Schedule D- Hiring Report via LCPtracker (CHA's online payroll monitoring software)

CHICAGO HOUSING AUTHORITY (CHA)
Department of Procurement & Contracts Contract Compliance Division

RFP/RFQ/Bidder/Proposers' M/W/DBE & Section 3
Contract Compliance Affidavit

I further understand that any changes to my approved M/W/DBE and Section 3 Utilization Plans require the approval of the Department of Procurement & Contracts' Contract Compliance Division.

ACKNOWLEDGEMENT:

[Signature]
(Authorized Principal or Agent Signature)

10/13/16
Date

APPROVED:

James Butler
(Contract Compliance Specialist)

12/9/16
Date

Elizabeth Becker 10/13/2016
State of IL, County of Cook

Before me, David A. Haymes to me personally known who, being duly sworn, did execute the foregoing affidavit, and did state that he is properly authorized by Pappageorge Haymes Partners to execute the affidavit and did so as his free act and deed.

Eliz Bkr
expired: 01/25/20

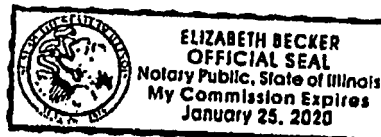


EXHIBIT B
CONSTRUCTION COST ESTIMATES

The A/E shall prepare, if required, during Phases of Basic Services set forth in this Agreement, Construction Cost Estimates based on the general guidelines included in the following procedure and as required by the CHA.

Term

Construction Cost Estimate

Definition

The probable cost to construct or put in place Work outlined in Design or Construction Documents. This Construction Cost Estimate shall include, but shall not be limited to:

- a. indirect charges, which include, but is not limited to, site overhead charges, home office charges, bonds and insurances, temporary construction, supervisory and management staff;
- b. direct cost of performing the Work;
- c. allowances, including the Owner's furnished items and allowances for undefined Work; and
- d. any contingencies (depending on the Phase or stage at which the Construction Cost Estimate is being prepared).

In addition, the Construction Cost Estimates shall follow the following guidelines:

- a. All Construction Cost Estimates shall be organized according to the WBS (Work Breakdown Structure) set forth by the CSI (Construction Specifications Institute) format.
- b. All Construction Cost Estimates shall be prepared using the latest version of the Construction Documents and an approved Construction Cost Estimate Summary Template.
- c. All Construction Cost Estimates shall be of appropriate and adequate level of detail for review and approval by the Owner.
- d. Any and all escalation must be applied directly to associated costs affected.
- e. Any and all Construction Cost Estimates or "Statements of Probable Construction Cost" submitted by the A/E shall have all associated construction costs assembled, broken-down, and shown by way of labor, material, and equipment at their corresponding 'unit of measure.'

Contingency

An allowance of resources (money, time, materials or other) to offset any impact due to unknown conditions, circumstances or lack of information. Category shall not be used to cover-up poor estimating practices (i.e. careless or unjustified padding of cost elements).

PROCEDURE

The following general steps are involved in the estimating process:

1. Provide an estimate of expected reasonable cost for the scope of Work defined and quantified from the Agreement.
2. Determine a reasonable expected local market cost based on the time of delivery for the service or product as defined by the Agreement.
3. Escalate the cost of the Work over the scheduled time for the Work to be implemented and applied directly to associated cost affected, NOT as a separate line item.
4. Define and quantify the risk associated with the Work defined, and develop a reasonable cost contingency for the defined risk.
5. Include sufficient contingency to mitigate cost impacts due to identifiable risk, unforeseen conditions, and cost exposure through completion of the defined scope of Work, set forth in the Agreement.
6. Provide a certification that the Project design and design estimates match the scope and budget requirements of the Project defined in the Agreement.

The A/E shall provide, if required, a Construction Cost Estimate to accompany each deliverable task set forth in the A/E's Agreement. Each Construction Cost Estimate shall be prepared and submitted to the Owner's Project Manager with the task deliverable and shall include, but not be limited to, the following:

1. Scope definition based on each Phase of the design documents. The Construction Cost Estimate must be consistent with the level of information provided by the design documents.
2. List scope of work, products and services, based on each Phase of the design documents.
3. Quantify listed scope of Work and define the units of measure for each work activity.
4. Include with the Construction Cost Estimate, as final level of summary, the Owner's Construction Cost Estimate Summary, in a form approved by Owner.
5. Estimate the craft labor rates (which include labor burdens) for crafts required in crews necessary to implement the Work.
6. Estimate construction equipment rates necessary to execute the Work.
7. Estimate the construction crews to be utilized in the Construction Cost Estimate, (which includes craft labor and construction equipment) required to execute the Work.
8. Estimate productivity rates.
9. Define Project specific subcontractors, fixed plant equipment, discreet project systems, and subsystems.
10. Escalation factor(s), which are qualitative, quantitative, and definable. The PDC shall use its best efforts to identify these factors and apply them to the associated costs of construction affected (when applicable).
11. Any acceleration costs that would be required, based on the Project schedule, that are qualitative, quantitative, and definable. The A/E shall use its best efforts to identify these factors and apply them to the associated costs of construction affected (when applicable). The logic and "means & method" used should be clearly stated.
12. Define and quantify indirect cost associated with the production and delivery of the stated

scope of Work (including, but not limited to, mobilization, demobilization, project supervision, technical services, administrative services, job site automation cost, vehicles, temporary job facilities, communication fees, reproduction, shipping and delivery services and security).

13. Develop, determine, and identify contract overhead associated with the Project based upon specific market rates, and the general contractor's corporate cost for the Chicago area. These associated costs should be appropriately allocated to Division 01 – General Requirements as set forth by the CSI (Construction Specifications Institute).
14. Define fee, margin and/or profit normally expected for the services provided.
15. Define a Construction Cost Estimate contingency based on exposure of items not reflected in the scope definition documents, but may be required to complete the intent of the Work to be performed, and unforeseen items of Work that may be defined later during design development.
16. Provide a narrative defining scope, bases, development, and content of the Construction Cost Estimate, including escalation, taxes, assumptions, exclusions and conclusions.

All A/E's may be required to provide alternative Construction Cost Estimates at any stage of a Project based on the stage of the Project's development life. The alternative Construction Cost Estimates shall comply with the appropriate procedure commensurate with the stage of the Project.

EXHIBIT C
ILLINOIS EQUAL OPPORTUNITY CLAUSE

**TITLE 44: GOVERNMENT CONTRACTS, PROCUREMENT AND
PROPERTY MANAGEMENT**
SUBTITLE B: SUPPLEMENTAL PROCUREMENT RULES
CHAPTER X: DEPARTMENT OF HUMAN RIGHTS
PART 750 PROCEDURES APPLICABLE TO ALL AGENCIES
**SECTION 750.APPENDIX A EQUAL EMPLOYMENT OPPORTUNITY
CLAUSE**

Section 750.APPENDIX A Equal Employment Opportunity Clause

EQUAL EMPLOYMENT OPPORTUNITY

In the event of the contractor's non-compliance with the provisions of this Equal Employment Opportunity Clause or the Act, the contractor may be declared ineligible for future contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations, and the contract may be cancelled or voided in whole or in part, and other sanctions or penalties may be imposed or remedies invoked as provided by statute or regulation. During the performance of this contract, the contractor agrees as follows:

- 1) That he or she will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, marital status, order of protection status, national origin or ancestry, citizenship status, age, physical or mental disability unrelated to ability, military status or an unfavorable discharge from military service; and, further, that he or she will examine all job classifications to determine if minority persons or women are underutilized and will take appropriate affirmative action to rectify any underutilization.
- 2) That, if he or she hires additional employees in order to perform this contract or any portion of this contract, he or she will determine the availability (in accordance with this Part) of minorities and women in the areas from which he or she may reasonably recruit and he or she will hire for each job classification for which employees are hired in a way that minorities and women are not underutilized.
- 3) That, in all solicitations or advertisements for employees placed by him or her or on his or her behalf, he or she will state that all applicants will be afforded equal opportunity without discrimination because of race, color, religion, sex, sexual orientation, marital status, order of protection status, national origin or ancestry, citizenship status, age, physical or mental disability unrelated to ability, military status or an unfavorable discharge from military service.

- 4) That he or she will send to each labor organization or representative of workers with which he or she has or is bound by a collective bargaining or other agreement or understanding, a notice advising the labor organization or representative of the contractor's obligations under the Act and this Part. If any labor organization or representative fails or refuses to cooperate with the contractor in his or her efforts to comply with the Act and this Part, the contractor will promptly notify the Department and the contracting agency and will recruit employees from other sources when necessary to fulfill its obligations under the contract.
- 5) That he or she will submit reports as required by this Part, furnish all relevant information as may from time to time be requested by the Department or the contracting agency, and in all respects comply with the Act and this Part.
- 6) That he or she will permit access to all relevant books, records, accounts and work sites by personnel of the contracting agency and the Department for purposes of investigation to ascertain compliance with the Act and the Department's Rules and Regulations.
- 7) That he or she will include verbatim or by reference the provisions of this clause in every subcontract awarded under which any portion of the contract obligations are undertaken or assumed, so that the provisions will be binding upon the subcontractor. In the same manner as with other provisions of this contract, the contractor will be liable for compliance with applicable provisions of this clause by subcontractors; and further it will promptly notify the contracting agency and the Department in the event any subcontractor fails or refuses to comply with the provisions. In addition, the contractor will not utilize any subcontractor declared by the Illinois Human Rights Commission to be ineligible for contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations.

(Source: Amended at 35 Ill. Reg. 3695, effective February 18, 2011)

EXHIBIT D
KEY PERSONNEL

The AOR / EOR's principals and professional level employees are identified below: [edit as appropriate]

AOR / EOR: [Pappageorge Haymes Partners]

NAME	ROLE
David A. Haymes, AIA	Principal-in-Charge
Steve Rezabek, AIA	Senior Architect
Elizabeth Zaverdas, Associate AIA	Associate Architect
Ovi Olariu	Project Architect

The sub-consultant's principals and professional level employees are identified below: [edit as appropriate]

COST CONSULTING SUB-CONSULTANT: [CCS INTERNATIONAL]

NAME	ROLE
Clive Bransby	Principal in Charge
Robert Svoboda, Jr, CPE	Project Manager
Jim Rogers, CPE	Cost Estimating, Electrical
Jeffrey Harding	Cost Estimating, Mechanical

CIVIL ENGINEERING SUB-CONSULTANT: [Terra Engineering]

NAME	ROLE
Jamil Bou-Saab, P.E.	Principal-in-Charge
Dustin Erickson, P.E.	Senior Engineer
Chris Miehle	Project Engineer

LANDSCAPE DESIGN SUB-CONSULTANT: [Terra Engineering]

NAME	ROLE
Brooke Davis, ASLA	Project Manager
Tedd Lundquist	Project Engineer

STRUCTURAL ENGINEERING SUB-CONSULTANT: [Stearn- Joglekar]

NAME	ROLE
Howard Stern, R.A.	Principal
Matthew Wright	Project Engineer

MECHANICAL, ELECTRICAL, PLUMBING, FIRE PROTECTION, INFORMATION TECHNOLOGY ENGINEERING SUB-CONSULTANT: [RTM & Associates]

NAME	ROLE
Doug Brewer, PE	Principal in Charge
Matthew Zega, PE	Senior Engineer
Sheetal Patel	Electrical Engineer
Dale Adney, P.E.	Mechanical Engineer

EXHIBIT E

HUD FORM 5370-C

GENERAL CONDITIONS FOR NON-CONSTRUCTION CONTRACTS

General Conditions for Non-Construction Contracts

Section I – (With or without Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing

Office of Labor Relations

OMB Approval No. 2577-0157 (exp. 1/31/2017)

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Applicability. This form HUD-5370-C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- 1) Non-construction contracts (without maintenance) greater than \$100,000 - use Section I;
- 2) Maintenance contracts (including nonroutine maintenance as defined at 24 CFR 968.105) greater than \$2,000 but not more than \$100,000 - use Section II; and
- 3) Maintenance contracts (including nonroutine maintenance), greater than \$100,000 - use Sections I and II.

Section I - Clauses for All Non-Construction Contracts greater than \$100,000

1. Definitions

The following definitions are applicable to this contract:

- (a) "Authority or Housing Authority (HA)" means the Housing Authority.
- (b) "Contract" means the contract entered into between the Authority and the Contractor. It includes the contract form, the Certifications and Representations, these contract clauses, and the scope of work. It includes all formal changes to any of those documents by addendum, Change Order, or other modification.
- (c) "Contractor" means the person or other entity entering into the contract with the Authority to perform all of the work required under the contract.
- (d) "Day" means calendar days, unless otherwise stated.
- (e) "HUD" means the Secretary of Housing and Urban Development, his delegates, successors, and assigns, and the officers and employees of the United States Department of Housing and Urban Development acting for and on behalf of the Secretary.

2. Changes

- (a) The HA may at any time, by written order, and without notice to the sureties, if any, make changes within the general scope of this contract in the services to be performed or supplies to be delivered.
- (b) If any such change causes an increase or decrease in the hourly rate, the not-to-exceed amount of the contract, or the time required for performance of any part of the work under this contract, whether or not changed by the order, or otherwise affects the conditions of this contract, the HA shall make an equitable adjustment in the not-to-exceed amount, the hourly rate, the delivery schedule, or other affected terms, and shall modify the contract accordingly.
- (c) The Contractor must assert its right to an equitable adjustment under this clause within 30 days from the date of receipt of the written order. However, if the HA decides that the facts justify it, the HA may receive and act upon a

- proposal submitted before final payment of the contract.
- (d) Failure to agree to any adjustment shall be a dispute under clause Disputes, herein. However, nothing in this clause shall excuse the Contractor from proceeding with the contract as changed.
- (e) No services for which an additional cost or fee will be charged by the Contractor shall be furnished without the prior written consent of the HA.

3. Termination for Convenience and Default

- (a) The HA may terminate this contract in whole, or from time to time in part, for the HA's convenience or the failure of the Contractor to fulfill the contract obligations (default). The HA shall terminate by delivering to the Contractor a written Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, the Contractor shall: (i) immediately discontinue all services affected (unless the notice directs otherwise); and (ii) deliver to the HA all information, reports, papers, and other materials accumulated or generated in performing this contract, whether completed or in process.
- (b) If the termination is for the convenience of the HA, the HA shall be liable only for payment for services rendered before the effective date of the termination.
- (c) If the termination is due to the failure of the Contractor to fulfill its obligations under the contract (default), the HA may (i) require the Contractor to deliver to it, in the manner and to the extent directed by the HA, any work as described in subparagraph (a)(ii) above, and compensation be determined in accordance with the Changes clause, paragraph 2, above; (ii) take over the work and prosecute the same to completion by contract or otherwise, and the Contractor shall be liable for any additional cost incurred by the HA; (iii) withhold any payments to the Contractor, for the purpose of off-set or partial payment, as the case may be, of amounts owed to the HA by the Contractor.
- (d) If, after termination for failure to fulfill contract obligations (default), it is determined that the Contractor had not failed, the termination shall be deemed to have been effected for the convenience of the HA, and the Contractor shall be entitled to payment as described in paragraph (b) above.
- (e) Any disputes with regard to this clause are expressly made subject to the terms of clause titled Disputes herein.

4. Examination and Retention of Contractor's Records

- (a) The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until 3 years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

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- (b) The Contractor agrees to include in first-tier subcontracts under this contract a clause substantially the same as paragraph (a) above. "Subcontract," as used in this clause, excludes purchase orders not exceeding \$10,000.
- (c) The periods of access and examination in paragraphs (a) and (b) above for records relating to:
- (i) appeals under the clause titled Disputes;
 - (ii) litigation or settlement of claims arising from the performance of this contract; or,
 - (iii) costs and expenses of this contract to which the HA, HUD, or Comptroller General or any of their duly authorized representatives has taken exception shall continue until disposition of such appeals, litigation, claims, or exceptions.

5. Rights in Data (Ownership and Proprietary Interest)

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

6. Energy Efficiency

The contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

7. Disputes

- (a) All disputes arising under or relating to this contract, except for disputes arising under clauses contained in Section III, Labor Standards Provisions, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the HA. A claim by the HA against the Contractor shall be subject to a written decision by the HA.
- (c) The HA shall, with reasonable promptness, but in no event in no more than 60 days, render a decision concerning any claim hereunder. Unless the Contractor, within 30 days after receipt of the HA's decision, shall notify the HA in writing that it takes exception to such decision, the decision shall be final and conclusive.
- (d) Provided the Contractor has (i) given the notice within the time stated in paragraph (c) above, and (ii) excepted its claim relating to such decision from the final release, and (iii) brought suit against the HA not later than one year after receipt of final payment, or if final payment has not been made, not later than one year after the Contractor has had a reasonable time to respond to a written request by the HA that it submit a final voucher and release, whichever is earlier, then the HA's decision shall not be final or conclusive, but the dispute shall be determined on the merits by a court of competent jurisdiction.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the HA.

8. Contract Termination; Debarment

A breach of these Contract clauses may be grounds for termination of the Contract and for debarment or denial of participation in HUD programs as a Contractor and a subcontractor as provided in 24 CFR Part 24.

9. Assignment of Contract

The Contractor shall not assign or transfer any interest in this contract; except that claims for monies due or to become due from the HA under the contract may be assigned to a bank, trust company, or other financial institution. If the Contractor is a partnership, this contract shall inure to the benefit of the surviving or remaining member(s) of such partnership approved by the HA.

10. Certificate and Release

Prior to final payment under this contract, or prior to settlement upon termination of this contract, and as a condition precedent thereto, the Contractor shall execute and deliver to the HA a certificate and release, in a form acceptable to the HA, of all claims against the HA by the Contractor under and by virtue of this contract, other than such claims, if any, as may be specifically excepted by the Contractor in stated amounts set forth therein.

11. Organizational Conflicts of Interest

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under this contract and a contractor's organizational, financial, contractual or other interests are such that:
- (i) Award of the contract may result in an unfair competitive advantage; or
 - (ii) The Contractor's objectivity in performing the contract work may be impaired.
- (b) The Contractor agrees that if after award it discovers an organizational conflict of interest with respect to this contract or any task/delivery order under the contract, he or she shall make an immediate and full disclosure in writing to the Contracting Officer which shall include a description of the action which the Contractor has taken or intends to take to eliminate or neutralize the conflict. The HA may, however, terminate the contract or task/delivery order for the convenience of the HA if it would be in the best interest of the HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this contract and intentionally did not disclose the conflict to the Contracting Officer, the HA may terminate the contract for default.
- (d) The terms of this clause shall be included in all subcontracts and consulting agreements wherein the work to be performed is similar to the service provided by the prime Contractor. The Contractor shall include in such subcontracts and consulting agreements any necessary provisions to eliminate or neutralize conflicts of interest.

12. Inspection and Acceptance

- (a) The HA has the right to review, require correction, if necessary, and accept the work products produced by the Contractor. Such review(s) shall be carried out within 30 days so as to not impede the work of the Contractor. Any

- product of work shall be deemed accepted as submitted if the HA does not issue written comments and/or required corrections within 30 days from the date of receipt of such product from the Contractor.
- (b) The Contractor shall make any required corrections promptly at no additional charge and return a revised copy of the product to the HA within 7 days of notification or a later date if extended by the HA.
- (c) Failure by the Contractor to proceed with reasonable promptness to make necessary corrections shall be a default. If the Contractor's submission of corrected work remains unacceptable, the HA may terminate this contract (or the task order involved) or reduce the contract price or cost to reflect the reduced value of services received.

13. Interest of Members of Congress

No member of or delegate to the Congress of the United States of America or Resident Commissioner shall be admitted to any share or part of this contract or to any benefit to arise there from, but this provision shall not be construed to extend to this contract if made with a corporation for its general benefit.

14. Interest of Members, Officers, or Employees and Former Members, Officers, or Employees

No member, officer, or employee of the HA, no member of the governing body of the locality in which the project is situated, no member of the governing body in which the HA was activated, and no other public official of such locality or localities who exercises any functions or responsibilities with respect to the project, shall, during his or her tenure, or for one year thereafter, have any interest, direct or indirect, in this contract or the proceeds thereof.

15. Limitation on Payments to Influence Certain Federal Transactions

(a) Definitions. As used in this clause:

"Agency", as defined in 5 U.S.C. 552(f), includes Federal executive departments and agencies as well as independent regulatory commissions and Government corporations, as defined in 31 U.S.C. 9101(1).

"Covered Federal Action" means any of the following Federal actions:

- (i) The awarding of any Federal contract;
- (ii) The making of any Federal grant;
- (iii) The making of any Federal loan;
- (iv) The entering into of any cooperative agreement; and,
- (v) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

Covered Federal action does not include receiving from an agency a commitment providing for the United States to insure or guarantee a loan.

"Indian tribe" and "tribal organization" have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B). Alaskan Natives are included under the definitions of Indian tribes in that Act.

"Influencing or attempting to influence" means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government" means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency" includes the following individuals who are employed by an agency:

- (i) An individual who is appointed to a position in the Government under title 5, U.S.C., including a position under a temporary appointment;
- (ii) A member of the uniformed services as defined in section 202, title 18, U.S.C.;
- (iii) A special Government employee as defined in section 202, title 18, U.S.C.; and,
- (iv) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, appendix 2.

"Person" means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit or not for profit. This term excludes an Indian tribe, tribal organization, or other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Recipient" includes all contractors, subcontractors at any tier, and subgrantees at any tier of the recipient of funds received in connection with a Federal contract, grant, loan, or cooperative agreement. The term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed means, with respect to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, an officer or employee who is employed by such person for at least 130 working days within one year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract, grant, loan, or cooperative agreement. An officer or employee who is employed by such person for less than 130 working days within one year immediately preceding the date of submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State" means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and a multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibition.

- (i) Section 1352 of title 31, U.S.C. provides in part that no appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(ii) The prohibition does not apply as follows:

(1) Agency and legislative liaison by Own Employees.

(a) The prohibition on the use of appropriated funds, in paragraph (i) of this section, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a Federal contract, grant, loan, or cooperative agreement, if the payment is for agency and legislative activities not directly related to a covered Federal action.

(b) For purposes of paragraph (b)(i)(1)(a) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(c) The following agency and legislative liaison activities are permitted at any time only where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency (including individual demonstrations) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and,

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action:

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Public Law 95-507 and other subsequent amendments.

(e) Only those activities expressly authorized by subdivision (b)(ii)(1)(a) of this clause are permitted under this clause.

(2) Professional and technical services.

(a) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply in the case of-

(i) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(ii) Any reasonable payment to a person, other than an officer or employee of a

person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(b) For purposes of subdivision (b)(ii)(2)(a) of clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline.

(c) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation, or reasonably expected to be required by law or regulation, and any other requirements in the actual award documents.

(d) Only those services expressly authorized by subdivisions (b)(ii)(2)(a)(i) and (ii) of this section are permitted under this clause.

(iii) Selling activities by independent sales representatives.

(c) The prohibition on the use of appropriated funds, in subparagraph (b)(i) of this clause, does not apply to the following selling activities before an agency by independent sales representatives, provided such activities are prior to formal solicitation by an agency and are specifically limited to the merits of the matter:

(i) Discussing with an agency (including individual demonstration) the qualities and characteristics of the person's products or services, conditions or terms of sale, and service capabilities; and

(ii) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(d) Agreement. In accepting any contract, grant, cooperative agreement, or loan resulting from this solicitation, the person submitting the offer agrees not to make any payment prohibited by this clause.

(e) Penalties. Any person who makes an expenditure prohibited under paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(f) Cost Allowability. Nothing in this clause is to be interpreted to make allowable or reasonable any costs which would be unallowable or unreasonable in accordance with Part 31 of the Federal Acquisition Regulation (FAR), or OMB Circulars dealing with cost allowability for recipients of assistance agreements. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any of the provisions of FAR Part 31 or the relevant OMB Circulars.

16. Equal Employment Opportunity

During the performance of this contract, the Contractor agrees as follows:

- (a) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin.
- (b) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to (1) employment; (2) upgrading; (3) demotion; (4) transfer; (5) recruitment or recruitment advertising; (6) layoff or termination; (7) rates of pay or other forms of compensation; and (8) selection for training, including apprenticeship.
- (c) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (d) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (e) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (f) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (g) The Contractor shall furnish all information and reports required by Executive Order 11246, as amended and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto. The Contractor shall permit access to its books, records, and accounts by the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- (h) In the event of a determination that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part, and the Contractor may be declared ineligible for further Government contracts, or federally assisted construction contracts under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended, the rules, regulations, and orders of the Secretary of Labor, or as otherwise provided by law.
- (i) The Contractor shall include the terms and conditions of this clause in every subcontract or purchase order unless exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor. The Contractor shall take such action with respect to any subcontractor or purchase order as the Secretary of Labor may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided that if the

Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

17. Dissemination or Disclosure of Information

No information or material shall be disseminated or disclosed to the general public, the news media, or any person or organization without prior express written approval by the HA.

18. Contractor's Status

It is understood that the Contractor is an independent contractor and is not to be considered an employee of the HA, or assume any right, privilege or duties of an employee, and shall save harmless the HA and its employees from claims suits, actions and costs of every description resulting from the Contractor's activities on behalf of the HA in connection with this Agreement.

19. Other Contractors

HA may undertake or award other contracts for additional work at or near the site(s) of the work under this contract. The contractor shall fully cooperate with the other contractors and with HA and HUD employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or HA employee.

20. Liens

The Contractor is prohibited from placing a lien on HA's property. This prohibition shall apply to all subcontractors.

21. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1988; 24 CFR 135)

- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1988, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of

apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

22. Procurement of Recovered Materials

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.
- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.

EXHIBIT F

**CONTRACTOR'S AFFIDAVIT AND CONTRACTOR'S CERTIFICATIONS,
REPRESENTATIONS OF OFFERORS-NON-CONSTRUCTION CONTRACTS (HUD
FORM 5369-C) AND EQUAL OPPORTUNITY COMPLIANCE CERTIFICATE**

CHICAGO HOUSING AUTHORITY
Department of Procurement & Contracts

CONTRACTOR'S AFFIDAVIT

Bidder/Proposer Name: Pappageorge Haymes Partners
Bidder/Proposer Address: 640 N. LaSalle, Suite 400
Chicago, IL 60654

IFB/RFP NUMBER: RFQ Event #1647

Federal Employee I.D. #: [REDACTED] or Social Security #: _____

Instructions: **FOR USE WITH ALL CONTRACTS.** Every Contractor submitting a bid/proposal to the Chicago Housing Authority ("CHA") must complete this Contractor's Affidavit. Special attention should be paid to those Sections which require the Contractor to provide certain information to the CHA. The Contractor should complete this Contractor's Affidavit by signing and notarizing Section XIV. Please note that in the event the Contractor is a joint venture, the joint venture and each of the joint venture partners must submit a separate and completed Contractor's Affidavit. In the event the Contractor is unable to certify to any of the statements contained herein, the Contractor must contact the Department of Procurement and Contracts of the CHA and provide a detailed factual explanation of the circumstances leading to the Contractor's inability to so certify.

The undersigned David A. Haymes, AIA as Vice President
(Name) (Title)

and on behalf of Pappageorge Haymes Partners ("Contractor") having been duly
(Business Name)

sworn under oath certifies that:

I. DISCLOSURE OF OWNERSHIP INTERESTS

All bidders/proposers/contractors shall provide the following information with their bid/proposal/contract. Complete all blanks by entering the requested information or if the question is not applicable, answer with "NA". If the answer is none, please answer "none".

Bidder/Proposer is a: ☒ Corporation ☐ Sole Proprietor
(Check One) ☐ Partnership ☐ Not-for-Profit Corporation
☐ Joint Venture ☐ Other

**CHICAGO HOUSING AUTHORITY
Department of Procurement & Contracts**

CONTRACTOR'S AFFIDAVIT

Average Annual Sales - Last 3 years: \$11,768,867

Current Net Worth: \$6,402,707 Date Business Started 07/01/1982 (incorporated)

SECTION 1. FOR PROFIT CORPORATIONS

- a. Incorporated in the State of Illinois
- b. Authorized to do business in the State of Illinois YES ☐ NO ☐
- c. Names of all officers of corporation (or Attach List): Names of all directors of corporation (or Attach List):

NAME (Print/Type)	Title (Print/Type)	Name (Print/Type)	Title (Print/Type)
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<u>George Pappageorge, FAIA</u>	<u>President</u>		
<u>David A. Haymes, AIA</u>	<u>Vice President</u>		

- d. If the corporation has fewer than 100 shareholders, indicate here or attach a list of names and addresses of all shareholders and the percentage interest of each.

NAME (PRINT/Type)	Address	Ownership Interest
<u>George Pappageorge, FAIA</u>	<u>2200 W Shakespeare Chicago, IL 60647</u>	<u>50</u> %
<u>David A. Haymes, AIA</u>	<u>2648 N. Bosworth Chicago, IL 60614</u>	<u>50</u> %
		%
		%

- e. Is the corporation owned partially or completely by one or more other Corporations?

YES ☐ NO ☒

- f. If the corporation has 100 or more shareholders, indicate here or attach a list of names and addresses of all shareholders owning shares equal to or in excess of 10%

CHICAGO HOUSING AUTHORITY
Department of Procurement & Contracts

CONTRACTOR'S AFFIDAVIT

of the proportionate ownership of the corporation and indicate the percentage interest of each.

NAME (PRINT/Type)	Address	Ownership Interest
N/A		%
		%
		%
		%

NOTE: Generally, with corporations having 100 or more shareholders where no shareholder owns 10% of the shares, the requirements of this Section 1 would be satisfied by the bidder/proposer enclosing, with its bid/proposal, a copy of the corporation's latest published annual report and/or Form 10-K if the information is contained therein.

SECTION 2. PARTNERSHIP

If the bidder/proposer is a partnership, indicate the name of each partner (or attach list) and the percentage of interest of each therein.

NAME OF PARTNERS (Print/Type)	PERCENTAGE INTEREST
	%
	%
	%
	%

SECTION 3. SOLE PROPRIETORSHIPS

- a. The bidder/proposer is a sole proprietor and is not acting in any representative capacity in behalf of any beneficiary: YES [] NO []
If NO, complete items b. and c. of this Section 3.
- b. If the sole proprietorship is held by an agent(s) or a nominee (s), indicate the principal(s) for whom the agent or nominee holds such interest.

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Name(s) of Principal(s) (Print/Type)

- c. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may be exercised:

SECTION 4. NOT-FOR-PROFIT CORPORATIONS

- a. Incorporated in the State of _____.
- b. Authorized to do business in the State of Illinois YES [] NO []
- c. Names of all officers of corporation (or Attach List): Names of all directors of corporation (or Attach List):

NAME (Print/Type)	Title (Print/Type)	Name (Print/Type)	Title (Print/Type)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

NOTE: The General Counsel may require any such additional information from any entity to achieve full disclosure relevant to the Contract. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Director of Procurement and Contracts takes action on the Contract or other action required of the General Counsel.

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II. CONTRACTOR CERTIFICATION

A. CONTRACTOR'S ANTI-COLLUSIVE AFFIDAVIT

1. The Contractor or any subcontractor to be used in the performance of this contract, or any affiliated entity of the Contractor or any such subcontractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such subcontractor or any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three (3) years prior to the date of execution of this Contractor's Affidavit or if a subcontractor or subcontractor's affiliated entity during a period of three (3) years prior to the date of award of the subcontract:
 - a. Violated any of the provisions of 18 U.S.C. §666 (a) (2) and 720 ILCS 5/33E-1 et seq.
 - b. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the CHA, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - c. Agreed or colluded, or been convicted of an agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - d. Made an admission of guilt of such conduct described in 1(a) and (b) above which is a matter of record but has not been prosecuted for such conduct.
2. The Contractor or any agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of Federal, state or local government as a result of engaging in or being convicted of bid-rigging in violation of the Illinois Criminal Code, 720 ILCS 5/33e-3, or any similar offense of any state of the United States which contains the same elements as the offense of bid-rigging during a period of five (5) years prior to the date of submittal of this bid, proposal or response.
3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating in violation of the Illinois Criminal Code, 720 ILCS 5/33E-

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4, or any similar offense of any state of the United States which contains the same elements as the offense of bid-rotating.

4. Additionally, that the undersigned is the party making the foregoing proposal or bid, that such bid or proposal is genuine and not collusive, and that said bidder/proposer has not colluded, conspired, connived or agreed, directly or indirectly with any proposer or person, to put in a sham proposal or to refrain from proposing, and has not in any manner, directly or indirectly sought by agreement or collusion, or communication or conference, with any person, to fix the proposal price of affiant or of any other proposer, to fix overhead, profit or cost element of said proposal price, or that of any other proposer and has not secured any advantage against the Chicago Housing Authority or any person interested in the proposed contract, nor has said proposer participated with any person or business entity in any collusive scheme to rotate proposals, provide any bribes, kickbacks to CHA employees in violation of any of the provisions of 18 U.S.C. §666 (a) (1) and 720 ILCS 5/33E-1 et seq; or engage in bid rigging; that proposer is not barred from bidding on the subject contract as a result of a violation of either Section 33-E-3 or 33-E-4 of the Illinois Criminal Code, 720 ILCS 5/33E-1 et seq; and that all statements on said proposal are true. Under penalties of perjury as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this Contractor's Affidavit are true and correct.
5. The Contractor, its agent, officers or employees have not directly or indirectly solicited non-public information from a CHA officer or employee; entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this proposal in violation of Illinois Criminal Code, 720 ILCS 5/33E-1 et seq. Failure to submit this statement as part of the bid/proposal will make the bid non-responsive and not eligible for award consideration.

B. SUBCONTRACTOR'S ANTI-COLLUSION AFFIDAVIT

1. The Contractor has obtained from all subcontractors to be used in performance of this contract, known by the Contractor at this time, certifications in form and substance equal to Sub-Section A of Section II of this affidavit.
2. The Contractor will, prior to using any subcontractor(s), obtain from such all subcontractor(s) to be used in the performance of this contract, but not yet known by the Contractor at this time certifications in form and substance equal to the certification Subsection A of Section II of this Affidavit. The Contractor shall not, without the prior written permission of the CHA, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, becomes aware of such subcontractor, subcontractor's

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affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of any of the conduct described in Section II (A) hereof.

3. The Contractor will maintain on file for the duration of the contract all certifications required by Section II for any subcontractors to be used in the performance of this contract and will make such certifications promptly available to the CHA upon request.
4. The Contractor will not, without the prior written consent of the CHA, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification in form and substance equal to the certification.
5. Contractor hereby agrees, if the CHA so demands, to terminate its subcontract with any subcontractor, if such Contractor or subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract under the State of Illinois Criminal Code 720 ILCS 5/33e-1 eq seq. as amended. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontracts as required by this Section II.

Notes 1-4 For Section II. Contractor's Certification

1. Business entities are affiliated if, directly or indirectly, one controls or has the power to control the other, or if a third person control or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership identity of interests among family members; shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity using substantially the same management, ownership or principals as the ineligible entity.
2. No corporation shall be barred from contracting with any unit of State or local government as a result of a conviction, under either Section 33E-3 or Section 33E-4 of Article 33 of the State of Illinois Criminal Code of 1961, as amended, of any employee or agent of such corporation if this employee so convicted is no longer employed by the corporation and: (1) it has been finally indicated not guilty or (2) if it demonstrate to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent in behalf of the corporation as provided in paragraph (2) of subsection (a) of Section 5-4 of the State of Illinois Criminal Code.

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3. For purposes of Section II (A) of this certification, a person commits the offense of and engages in bid-rigging when he knowingly agrees with any person who is, or but for such agreement should be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of State or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) provides such person or receives from another information concerning the price or other material term or terms of the bid which would otherwise not be disclosed to a competitor in an independent non-collusive submission of bids or (2) submits a bid that is of such a price or other material term or terms that he does not intend the bid to be accepted (See, 720 ILCS 5/33E-3).
4. For purpose of Section II (A) of this certification, a person commits the offense of and engages in bid rotating when, pursuant to any collusive scheme or agreement with another, he engages in a pattern over time (which, for the purposes hereof, shall include at least 3 contract bids within a period of ten years, the most recent of which occurs after January 1, 1989) of submitting sealed bids to units of State or local government with the intent that the award of such bids rotates, or is distributed among, persons or business entities which submit bids on a substantial number of the same contract (See, 720 ILCS 5/33E-4).

III. STATE TAX DELINQUENCIES

In completing this Section III, authorized signatory must initial on the line next to the appropriate subsection.

1. JA Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, Contractor is contesting such delinquency in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
2. _____ Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.
3. _____ Contractor is delinquent in the payment of any tax administered by the Department of Revenue and is not covered under any of the situations described in subsections 1 and 2 of this Section III, above 1.
1. 65 ILCS 5/11 - 42.1 - 1 provides that a municipality may not enter into a contract or agreement with an individual or other entity that is delinquent in the payment of any tax

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administered by the Illinois Department of Revenue unless the contracting party is contesting, in accordance with the procedures established by the appropriate Revenue Act its liability for the tax or the amount of the tax or unless the contracting party has entered into an agreement to pay the tax and is in compliance with the Agreement. Notwithstanding the above, the CHA may enter into the contract if the CHA's Operating Officer determines that:

- 1) the contract is for goods or services vital to the public health, safety, or welfare; and
- 2) the CHA is unable to acquire the goods or services at a comparable price and of comparable quality from other sources.

IV. PUNISHMENT

A Contractor or subcontractor who makes a false statement, material to Section II (A) and (B) of this certification commits a 3 class felony. 720 ILCS 5/33e-11(B). Making a false statement concerning Section III of this certification is a Class A misdemeanor, voids the Contract or and allows the CHA to recover all amounts paid to the Contractor under the contract in a civil action. 65 ILCS 5/11-42.1-1.

V CERTIFICATION REGARDING SUSPENSION AND DISBARMENT

- A. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
1. Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal, state or local government or agency;
 2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for: the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, Local) transaction or contract under a public transaction; a violation of Federal or State antitrust statutes; or the commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property
 3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offense enumerated in Section II (A) (1) above; and
 4. Have not within a three-year period preceding this Agreement had one or more public transactions (Federal, State or Local) terminated for cause or default.

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- B. If the Contractor is unable to certify to any of the statements in this certification, the Contractor shall attach a detailed factual explanation to this certification.
- C. If any subcontractors are to be used in the performance of this Contract, the Contractor shall cause such subcontractors to certify as to paragraph of this Certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach a detailed factual explanation to this certification.

VI. EPA CONTRACTOR LISTING

- A. Bidder/Proposer/Contractor shall comply with all applicable standards, orders and/or requirements established by and/or pursuant to:
1. The Clean Air Act (42 U.S.C. 4701 et. seq.), as amended;
 2. The Clean Water Act (33 U.S.C. 1251 et. seq.), as amended;
 3. The Solid Waste Disposal Act as amended by the Resources Conservation and Recovery Act (RCA) of 1976 (42 U.S.C. 6901, et. seq.), as amended;
 4. The Toxic Substances Control Act (TSCA) (15 U.S.C. 2601 et. seq.), as amended;
 5. Occupational Safety and Health Administration (OSHA) regulations, and any amendments thereto;
 6. The Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) (42 U.S.C. 9601 et. seq.), as amended;
 7. Illinois Environmental Protection Agency regulations, as amended;
 8. Illinois Department of Labor regulations, as amended;
 9. City of Chicago Ordinances, as amended;
- B. Bidder/Proposer/Contractor shall not use any facility on the Environmental Protection Agency's ("EPA") List of Violating Facilities in the performance of this Contract for the duration of time that the facility remains on the List.

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- C. Bidder/Proposer/Contractor shall immediately notify HUD which has awarded funds for this project if a facility it intends to use in the performance of this Contract is on the EPA's List of Violating Facilities or knows that it has been recommended to be placed on the List of Violating Facilities.
- D. Furthermore, Bidder/Proposer/Contractor shall, in the performance of this Contract, comply with all requirements of the Clean Air Act ("CAA"), 42 U.S.C. §7401-7642 and the Clean Water Act ("CWA"), 33 U.S.C. §1251-1387, including the requirements of Section 114 of the CAA and Section 308 of the CWA, and all other applicable clean air standards and clean water standards.

VII. CERTIFICATION OF RESTRICTION ON LOBBYING

THE CONTRACTOR CERTIFIES THAT:

- A. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal Contract, grant, loan or cooperative agreement.
- B. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this Federal contract, grant, loa or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- C. The undersigned shall require that the language of this certification to be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-contractors shall certify and disclose accordingly.
- D. This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. §1352.

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Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 or more than \$100,000 for each such failure.

VIII. CERTIFICATION OF NONSEGREGATED FACILITIES

As used in this Affidavit, the term "subcontract" includes the term "purchase order" and all other agreements effectuating purchase of supplies or services. If this Affidavit is submitted as part of a bid or proposal, the term "Contractor" shall be deemed to refer to the Bidder or proposer, or subcontractor or supplier. This Affidavit shall be renewed annually. Notwithstanding the foregoing, the certifications made herein shall remain applicable until completion of all nonexempt contracts/subcontracts awarded while this Affidavit is in effect. The undersigned Contractor certifies the following to the CHA

- A. REPORTS: Within thirty (30) days after CHA award to the Contractor of any contract/subcontract and prior to each March 31 thereafter during the performance of work under said subcontract, the Contractor shall file Standard Form 100, entitle "Equal Employment Opportunity Employer Information Report EEO" in accordance with instructions contained therein, unless the Contractor has either filed such report within 12 months preceding the date of the award or is not otherwise required by law or regulation to file such a report.
- B. PRIOR REPORTS: If the Contractor has participated in a previous contract or subcontract subject to Equal Opportunity Clause (41 C.F.R. Sec 60-1.4(a) (1) through (7), or the clause originally contained in Section 301 of Executive Order No. 10925, or the clause contained in Section 201 of the Executive Order No. submission of all required compliance reports, signed by proposed subcontractors, prior to awarding subcontracts not exempt from the Equal Opportunity Clause.
- C. CERTIFICATION OF NONSEGREGATED FACILITIES: The Contractor certifies that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. The Contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in the IFB or RFP. As used in this certification, the term "segregated facilities" means waiting room, waiting area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin, because of habit, local custom or otherwise. The Contractor further

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agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) it will obtain identical certifications from proposed subcontractors prior to the award of Contracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause; that the CHA will retain such certifications in its files; and that it will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENT FOR CERTIFICATIONS OF NONSEGREGATED FACILITIES. A certification of Non-segregated Facilities, as required by Section 60-1.8 of Title 41 of the Code of Federal Regulations, must be submitted prior to the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause. (Note: The penalty for making false statement in offers is prescribed in 18 U.S.C. 1001).

- D. The Contractor certifies that it is not currently in receipt of any outstanding letters of deficiencies, show cause, probable cause, or other such notification of non-compliance with EEO regulations.

NOTE: THE PENALTY FOR MAKING FALSE STATEMENTS IN BIDS/PROPOSALS IS PRESCRIBED IN 18 U.S.C. 1001.

IX. EQUAL EMPLOYMENT OPPORTUNITY

The Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR Part 60) require that each prospective contractor or proposed subcontractor submit the following information with his bid, or at the outset of negotiations.

- A. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

YES _____ NO X

- B. If answer to 1, is yes, have you filed with the Joint Reporting Committee, the Director of OFCC, any Federal agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements of these organizations?

YES _____ NO _____

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X. DAVIS - BACON CERTIFICATION

- A. By the submission of this Affidavit, the Contractor hereby certifies that neither it nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded contracts by the United States Government or the CHA by virtue of Section 3(a) of the Davis-Bacon Act (29 CFR 5.12 (a) (1)).
- B. No part of the Contract resulting from this solicitation shall be subcontracted to any person or firm ineligible to be awarded Contracts by the United States Government or the CHA by virtue of Section 3 (a) of the Davis-Bacon Act (29 CFR 5.12 (A) (1)).
- C. Furthermore, the Contractor hereby certifies that the information contained in this Affidavit and representation, are accurate, complete and current. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

XI. SECTION 3 CERTIFICATION

For all contracts where Section 3 is applicable, the Contractor hereby agrees to comply with all the provisions of Section 3 as set forth in 24 CFR 135.1 et seq and CHA Resolutions implementing Section 3 requirements. The Prime Contractor will submit a Schedule B-Section 3 Utilization Plan to identify employment, subcontracting, and other economic opportunities for CHA residents and low- and very low-income Chicago area residents during the term of the contract between the Prime Contractor and CHA.

XII. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certifications set forth in this Contractor's Affidavit shall become part of Contract No. RFP Event #1647 and incorporated by reference as if fully set forth therein. Further, the Contractor shall comply with these certifications during the term of the Contract.

XIII. ETHICS POLICY

The Contractor hereby certifies that it shall comply with all the applicable provisions of the CHA's Ethics Policy adopted by the CHA Board on June 2004, 95-HUD-5 especially Sections 19 through 25 thereof. The Contractor further certifies that it has received and read a copy of the CHA's Ethics Policy.

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XIV. VERIFICATION

Under penalty of perjury, I certify that I am authorized to execute this Contractor's Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

J J F

Signature of President or Authorized Officer

David A. Haymes, AIA

Name of President or Authorized Officer

Vice President

Title

312-650-7312

Telephone Number

State of Illinois)

County of Cook)

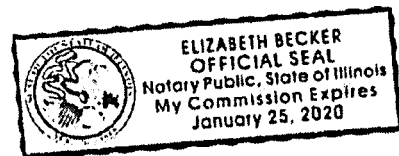
Signed and sworn to before me this 13th day of October, 2016
by

David A. Haymes (Name) as Vice President

(Title) of Papageorge Haymes Partners (Contractor)

Notary Public Signature

Ely Bke



Certifications and Representations of Offerors Non-Construction Contract

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No: 2577-0180 (exp. 7/30/96)

Public reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

This form includes clauses required by OMB's common rule on bidding/offering procedures, implemented by HUD in 24 CFR 85.36, and those requirements set forth in Executive Order 11625 for small, minority, women-owned businesses, and certifications for independent price determination, and conflict of interest. The form is required for nonconstruction contracts awarded by Housing Agencies (HAs). The form is used by bidders/offers to certify to the HA's Contracting Officer for contract compliance. If the form were not used, HAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

1. Contingent Fee Representation and Agreement

(a) The bidder/offers represents and certifies as part of its bid/offer that, except for full-time bona fide employees working solely for the bidder/offers, the bidder/offers:

- (1) ☐ has, ☒ has not employed or retained any person or company to solicit or obtain this contract; and
- (2) ☐ has, ☒ has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.

(b) If the answer to either (a)(1) or (a)(2) above is affirmative, the bidder/offers shall make an immediate and full written disclosure to the PHA Contracting Officer.

(c) Any misrepresentation by the bidder/offers shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

2. Small, Minority, Women-Owned Business Concern Representation

The bidder/offers represents and certifies as part of its bid/offer that it:

- (a) ☐ is, ☒ is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) ☐ is, ☒ is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) ☐ is, ☒ is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are:

(Check the block applicable to you)

- | | |
|---|---|
| <input type="checkbox"/> Black Americans | <input type="checkbox"/> Asian Pacific Americans |
| <input type="checkbox"/> Hispanic Americans | <input type="checkbox"/> Asian Indian Americans |
| <input type="checkbox"/> Native Americans | <input type="checkbox"/> Hasidic Jewish Americans |

3. Certificate of Independent Price Determination

(a) The bidder/offers certifies that—

- (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offers or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered;
- (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offers, directly or indirectly, to any other bidder/offers or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the bidder/offers to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.

(b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:

- (1) Is the person in the bidder/offers's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offers's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offers's organization);
(ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the bidder/offeror deletes or modifies subparagraph (a)2 above, the bidder/offeror must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

(a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:

(i) Award of the contract may result in an unfair competitive advantage;

(ii) The Contractor's objectivity in performing the contract work may be impaired; or

(iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.

(b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.

(c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.

(d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFPs only)


The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

 10/13/16
Signature & Date:

David A. Haymes, AIA

Typed or Printed Name:

Vice President

Title:

Pappageorge Haymes Partners

CHICAGO HOUSING AUTHORITY
Department of Procurement & Contracts

EQUAL EMPLOYMENT OPPORTUNITY COMPLIANCE CERTIFICATE

Professional Design Services IDIQ

RFP Event #1647

(IFB or RFP Title or P.O. Commodity Description)

(IFB or RFP or P.O. No.)

As used in this certificate, the term "subcontract" includes the term "purchase order" and all other agreements effectuating purchase of supplies or services. If this certificate is submitted as part of a bid or proposal, the term "Seller" shall be deemed to refer to the Bidder or Offeror, or Subcontractor or Supplier. This Certificate shall be renewed annually. Notwithstanding the foregoing, the certifications made herein shall remain applicable until completion of all contracts/subcontracts awarded while this certificate is in effect. The undersigned Seller certifies the following to the **CHICAGO HOUSING AUTHORITY**, hereinafter referred to as Buyer:

- A. **REPORTS:** Within thirty (30) days after Buyer's award to Seller of any contract/subcontract and prior to each March 31 thereafter during the performance of work under said subcontract, the Seller shall file Standard Form 100, entitled "Equal Employment Opportunity Employer Information Report EEO" in accordance with instructions contained therein, unless Seller has either filed such report within 12 months preceding the date of the award or is not otherwise required by law or regulation to file such a report.
- B. **PRIOR REPORTS:** If Seller has participated in a previous contract or subcontract subject to Equal Opportunity Clause (4) C.F.R. Section 60-1.4(a)(1) through (7), or the clause originally contained in section 301 of Executive Order No. 10925, or the clause contained in section 201 of the Executive Order No. 11114, has filed all required compliance reports. Seller shall obtain similar representations indicating submission of all required compliance reports, signed by proposed subcontractors, prior to awarding subcontracts not exempt from the Equal Opportunity Clause.
- C. **CERTIFICATION OF NON-SEGREGATED FACILITIES:** Seller certifies that it does not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not permit its employees to perform their services at any location under its control, where segregated facilities are maintained. Contractor certifies further that it will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it will not permit its employees to perform their services at any location under its control, where segregated facilities are maintained. Seller agrees that a breach of this certification is a violation of the Equal Opportunity Clause in the Specifications for Bid or Request for Proposal. As used in this certification, the term "segregated facilities" means waiting room, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom or otherwise. Contractor further agrees that (except where it has obtained identical certifications from proposed subcontractors for specific time periods) it will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity Clause, that it will retain such certifications in its files, and that it will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):
- NOTICE TO PROSPECTIVE SUBCONTRACTORS OR REQUIREMENT FOR CERTIFICATIONS OF NON-SEGREGATED FACILITIES.** A certification of Non-segregated Facilities, as required by Section 60-1.8 of Title 41 of the Code of Federal Regulations, must be submitted prior to the award of a subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause (Note: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001).

CHICAGO HOUSING AUTHORITY
Department of Procurement & Contracts

EQUAL EMPLOYMENT OPPORTUNITY COMPLIANCE CERTIFICATE

- D. **AFFIRMATIVE ACTION COMPLIANCE PROGRAM:** If requested by Buyer, Seller shall promptly develop and submit a written affirmative action compliance program, and also require its subcontractors to establish and submit written affirmative action compliance programs ("Note: If Seller already has such a program, please so indicate by checking here []).
- E. Seller certifies that it is not currently in receipt of any outstanding letters of deficiencies, show probable cause or other such notification of non-compliance with EEO regulations.
- F. **CURRENT WORKFORCE:** My/Our firm is committed to Equal Employment Opportunity and the Affirmative Action steps necessary to achieve the goals of the Executive Order. As of this date, the current Total workforce of my/our firm is as follow:

JOB CLASSIFICATION	TOTAL EMPLOYEES	WHITE		BLACK		HISPANIC		OTHER	
		Male	Female	Male	Female	Male	Female	Male	Female
OFFICIALS	2	2							
CRAFT (SKILLED)									
LABORERS (UNSKILLED)									
CLERICAL	7		3	1	2				1
OTHER SPECIFY	Interns 1				1				
OTHER SPECIFY									
OTHER SPECIFY	Architects 43	32	7			2		2	

EXECUTED THIS THIRTEENTH DAY OF OCTOBER 2016

BY 
 (SIGNATURE)

David A. Haymes, AIA
 (PRINTED OR TYPED NAME)

TITLE Vice President
 (PRINCIPAL)

FIRM NAME Pappageorge Haymes Partners	STREET ADDRESS 640 N. LaSalle Suite 400
CITY, STATE, ZIP CODE Chicago, IL	TELEPHONE NUMBER 312-650-7312